New York State Bar Association Committee on Professional Discipline 2006-2007

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INTRODUCTION

This is a report on lawyer discipline in New York State for the year 2006. Each section of the report is divided into three parts. Each part is intended to explain a different aspect of the disciplinary system.

Although each part of our report is complete and self-contained, by way of introduction we offer a summary of the report's various parts and a brief overview of the report as a whole so that the reader can better understand how these parts relate to each other.

In general, our report is intended to serve a dual purpose. It is, in one sense, a compilation of data, reflecting the immediate past in relation to the last ten years. It is also an expression of our hope for progress in the future development of professional discipline as a constructive means for regulating the practice of law.

PART I

The first part contains a statistical compilation of all disciplinary matters processed in New York State during 2006 and includes three tables. The last two of these tables compare disciplinary activity over the preceding ten years.

To those unfamiliar with the nomenclature of professional discipline in New York State, some of the terms used in the tables may be confusing. The practice has been initially to consider each intended complaint of misconduct or supposed cause for investigation as an "inquiry." When it appears that the "inquiry" does not allege conduct, which, even if true, would constitute professional misconduct, it is rejected for "failure to state a complaint." Otherwise, where a cognizable complaint

has been alleged, the file is assigned for investigation.

All inquiries in the form of a written complaint that come to the attention of a disciplinary committee, whether or not subsequently dismissed for "failure to state a complaint," are deemed "matters" for reporting purposes. Simple requests for information about an attorney's conduct or telephonic accusations are not reported as "matters." Often several "matters" will involve the same attorney; and all matters involving that attorney which are considered simultaneously will be deemed one "case."

The disciplinary procedures employed vary in some respects among the four Departments. Understandably, some of the terminology used to describe those differing procedures will also vary. Often the same procedures will be described differently by the various Departments of the Appellate Division and even functionally equivalent agencies of discipline will be known by different names. In the First Department, we refer to the "Departmental Disciplinary Committee" as the principal agency of professional discipline; in the Third Department, its functional equivalent is known as the "Committee on Professional Standards"; in the Second and Fourth Departments, there are three district "Grievance Committees" which serve as the principal agencies of discipline in each of those two Departments.

The multiplicity of disciplinary committees operating throughout the State results in each committee receiving a substantial number of inquiries and complaints that fall within the jurisdiction of other committees and which must then be referred out. Sometimes this is a consequence of the complainant

having chosen the wrong forum; other times it is as a consequence of judicial policy requiring official staff review of all complaints relating to attorney conduct. For example, in the Second and Fourth Departments, all complaints received by county bar association grievance committees (with the sole exception of those received by one association in the Fourth Department) are routinely referred to the professional staff of one of the district grievance committees. Even if the complaint appears to be nothing more than a fee dispute, by court rule in these Departments, a policy has been established to refer all inquiries to the district grievance committee's professional staff. Upon review, the district grievance committee, in turn, will refer a large portion of these matters to county bar association committees for further processing and investigation. Often a matter that was initially referred to the district committee will be referred back to the same county bar association. Such matters would be reported by the district committee as both "new matters received" and "referred to other disciplinary committees."

The statistics from the Second Department represent the total number of actions taken by the various courtappointed district committees and include the reports of county bar association grievance committees. The reports of the district committees, as well as those of the local bar association committees (including the respective grievance committees of the Brooklyn, Queens, Richmond, Dutchess, Orange, Putnam, Rockland and Westchester Bar Associations), are separately reproduced. In the Tenth Judicial District, the grievance committees of the Nassau and Suffolk County Bar Associations only investigate so-called "minor plaints" and then report them to the district committee which makes the ultimate disposition. Such matters, although for the most part processed by the local bar association committees, are incorporated in the report of the district committee.

In the Third Department, relatively few matters are processed by local bar associations. It is estimated that less than 10% of the total number of matters are handled by such associations. However, no statistics are available that separately reflect such bar association activity.

Finally, in the Fourth Department, a substantial number of so-called "minor complaints" are processed by local bar associations. The local processing of such matters is included, without differentiation, in the statistics furnished by the district committees.

PART II

The second part of this report summarizes all disciplinary decisions published by the four departments of the Appellate Division during 2006. Although these decisions are important in terms of expressing the judiciary's treatment of serious misconduct, it should be remembered that they represent only a small portion of the matters which pass through the disciplinary system each year, and that most cases which involve some form of professional misconduct are not referred to the courts for imposition of discipline.

The lists of cases contained in this part are intended to summarize the disciplinary decisions issued by the four departments of the Appellate Division during the year just ended. There are eight lists, one for each of the official agencies of discipline. Each list is divided into three columns. The first col-

umn contains the respondent's name and, where available, the citation; the second column contains a brief statement of the action taken by the court; and the last column summarizes the charges, where relevant to the proceedings.

As with all summaries, the need to condense a large body of information results in a loss of substantial detail. Accordingly, the reader who is interested in obtaining information about a particular case or respondent is cautioned not to rely on the summary presentation contained in these lists. Instead, such reader is urged to refer to the full text of the court's decision.

PART III

The third and last part of this report contains various data on the organization of the State's eight official agencies of discipline. It sets forth the names and titles of the professional disciplinary staff and their respective volunteer committee members in each of the eight official disciplinary agencies of the State. An Appendix sets forth the disciplinary budget appropriations for fiscal 2006 and the salaries of disciplinary staff.

A NOTE OF APPRECIATION

In reviewing these statistics, the reader is urged to consider the number of complaints processed in the course of a year as against the resources and personnel available to do the job. The tables contained in Part I of this report reveal that over 15,000 complaints were processed in each year.

Available to do the job were less than 400 staff members and volunteers. It is to them, in recognition of their unflagging devotion to their duties, that this report is respectfully dedicated.

Disciplinary Statistics 2006 Tables

Table 1 Appellate Division January 1, 2006-December 31, 2006

		1st Dept.	2 nd Dept.	3 rd Dept.	4 th Dept.	Total
MA	ATTERS PROCESSED:1					
	Pending at start of period	1230	2988	1519	860	6597
	New matters received ²	4352	6820	1692	2524	15388
	Matters disposed of	4471	6711	2594	2537	16313
	Pending at end of period	1111	3097	617	847	5672
II.	COMMITTEE DISPOSITIONS:					
	Rejected for failing to state complaint	861	2890	927	1044	5722
	Referred to other agencies ³	413	1621	126	180	2340
	Dismissed or withdrawn	2075	1417	1295	847	5634
	Dismissed through mediation	34	33	0	0	67
	Letters of caution (education)	N/A	259	50	265	574
	Letters of admonition	90	148	23	45	306
	Admonition or reprimand	0	0	19	N/A	18
	Referred to court	998	265	155	156	1574
	Other	0	78	0	0	78
III.	CASES PROCESSED IN COURTS ⁴					
	Cases pending at start of period	44	162	35	35	276
	Cases received	132	247	149	89	617
	CASES CLOSED					
	Disbarred	24	30	9	5	68
	Resigned	4	11	0	3	18
	Suspended	33	38	14	10	95
	Censured	4	11	2	10	27
	Privately censured	0	0	0	0	0
	Remanded to grievance committee	12	4	1	4	21
	Discontinued	3	8	0	0	11
	Dismissed	0	0	0	0	0
	Reinstatements granted	8	14	9	4	35
	Reinstatements denied	6 51	3 137	1 121	3 55	13
	Other	31	13/	121	33	364
	TOTAL CLOSED:	143	256	157	94	650
	TOTAL PENDING:	33	170	27	30	260

[&]quot;Matters" refers to the number of complaints, not attorneys.
Includes complaints received and old matters reactivated.
Includes matters referred to other disciplinary committees and to other agencies.
"Cases" refers to the number of attorneys, not complaints.

Table 2

	New Matters*	Matters Closed*	Disciplinary Action by Committee	Disciplinary Action by Court
1 st Department				
1997	3953	3964	117	81
1998	4797	5087	100	91
1999	4143	3742	76	92
2000	3004	2870	63	65
2001	2987	2587	55	57
2002	3123	3785	134	55
2003	3133	3218	88	63
2004	3205	3313	102	63
2005	2994	3002	78	63
2006	3939	4058	90	65
2 nd Department				
1997	5772	5130	442	102
1998	4712	5306	662	106
1999	4557	4759	518	107
2000	4032	4287	395	107
2001	4519	4650	404	97
2002	4981	4944	458	98
2003	5928	5357	445	85
2004	4776	5044	425	78
2005	6563	6010	462	97
2006	5199	5090	407	90
2000	3177	3070	107	70
3 rd Department				
1997	1388	1437	203	30
1998	1795	1600	173	36
1999	1792	1602	172	28
2000	1588	1673	239	41
2001	1846	1548	223	42
2002	1930	1559	186	30
2003	1579	1608	141	28
2004	1610	1500	88	29
2005	1688	1659	136	29
2006	1566	2468	91	25
4 th Department				
1996	1704	1906	159	38
1997	2262	1967	196	18
1998	2224	2208	200	19
1999	2078	2084	222	17
2000	2077	2112	221	23
2001	2206	2100	283	33
2002	2082	2084	316	26
2003	2125	2059	235	28
2004	2072	2056	219	21
2005	2560	2365	232	25
2006	2344	2357	310	28
_000			310	20

These figures are reduced by the number of complaints referred to other committees for action.

Statewide				
1997	13375	12498	958	231
1998	13528	14201	1135	252
1999	12570	12187	988	244
2000	10701	10942	918	236
2001	11558	10885	965	229
2002	12142	12372	1094	209
2003	12765	12242	909	204
2004	11663	11913	834	189
2005	13672	13036	908	214
2006	13040	13973	898	208

Table 3

	New Matters*	Matters Closed*	Disciplinary Action By Committees	Disciplinary Action by Court
Departmental Dis	sciplinary Comm	ittee for the First	Department	
1997	3953	3964	117	81
1998	4797	5087	100	91
1999	4143	3742	76	92
2000	3004	2870	63	65
2001	2987	2587	55	57
2002	3123	3785	134	55
2003	3133	3218	88	63
2004	3205	3313	102	63
2005	2994	3002	78	63
2006	3939	4058	90	65
Grievance Committe	ee for the Second a	nnd Eleventh Judici	al Districts, Second De	epartment
1997	1653	1533	211	31
1998	1517	1586	346	31
1999	1502	1304	226	19
2000	1361	1402	152	25
2001	1575	1620	155	44
2002	1609	1761	212	42
2003	2076	1966	181	38
2004	1659	1748	150	37
2005	1748	1617	168	44
2006	1889	1716	161	34
Grievance Committe	•		-	
1997	1391	1175	110	37
1998	1116	1171	136	33
1999	1081	1070	90	39
2000	937	963	100	36
2001	836	852	90	22
2002	1303	1104	120	26
2003	1159	1137	140	26
2004	930	914	134	21
2005	1530	1426	131	22
2006	1381	1546	134	30
Grievance Committ				24
1997	2728 2079	2422	121	34
1998		2548	140	44 49
	2070	2385	187	
2000 2001	1734 2108	1922 2178	143 159	46 31
2001		2079		
2002	2069 2693	2079 2254	126 144	30 21
2003	2693 2187	2382	144 141	23
2004	3243	2925	163	31
2005	1929	1828	112	26
2000	1747	1040	114	20

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These figures are reduced by the number of complaints referred to other committees for action.

Committee on Professional Standards, Third Department					
1997	1388	1437	203	30	
1998	1795	1600	173	36	
1999	1792	1602	172	28	
2000	1588	1673	239	41	
2001	1846	1548	223	42	
2002	1930	1559	186	30	
2003	1579	1608	141	28	
2004	1610	1500	88	29	
2005	1688	1659	136	29	
2006	1566	2468	91	25	
Grievance Com	nmittee for the Fifth Ju	dicial District, Fourt	h Department		
1997	533	468	28	6	
1998	644	650	39	3	
1999	618	597	43	2	
2000	576	600	37	8	
2001	598	603	101	7	
2002	574	546	84	4	
2003	527	521	65	5	
2004	520	521	39	5	
2005	537	409	50	3	
2006	539	543	91	4	
	nmittee for the Seventh				
1997	716	645	64	3	
1998	591	608	47	4	
1999	529	566	36	4	
2000	578	562	39	7	
2001	564	534	48	12	
2002	589	573	65	11	
2003	626	608	51	7	
2004	654	639	51	8	
2005	686	694	46	8	
2006	622	639	51	9	
	nmittee for the Eighth]		=		
1997	913	854	104	9	
1998	989	942	114	12	
1999	931	921	143	11	
2000	923	950	145	8	
2001	1044	963	134	14	
2002	919	965	167	11	
2003	972	930	119	16	
2004	898	896	129	8	
2005	1337	1261	136	14	
2006	1183	1175	168	15	

Statistical Reports of Disciplinary Committees

Departmental Disciplinary Committee for the First Department

I. Matters Processed¹

	A. B. C. D. E. F.	Matters Pending at Start of Period New Matters Received During Period Closed Matters Reactivated During Period Total Matters to be Processed During Period Total Matters Disposed of During Period Matters Pending at Close of Period	1230 4300 52	5582 4471 1111
II.	Matte	ers Disposed of by Committee	CASES	MATTERS
	A. B. C. D. E. F.	Rejected as Failing to State a Complaint Referred to Other Disciplinary Committees/Agencies Dismissed Dismissed Through Mediation Letter of Admonition Reprimand After Hearing Referred to Appellate Division Total Disposed of During Period	824 408 1960 34 75 0 932 4233	861 413 2075 34 90 0 998 4471
III.	Cases	s Processed by Court		
	A.	Cases Pending at Start of Period 1. Disciplinary Proceedings 2. Other	44 31 13	
	В.	Cases Received During Period 1. Disciplinary Proceedings 2. Other	132 66 66	
	C.	Total to be Processed During Period	176	
	D.	Cases Closed 1. Disbarred 2. Resigned 3. Suspended 4. Censured 5. Privately Censured 6. Remanded to Grievance Committee 7. Discontinued 8. Dismissed 9. Reinstatements Granted 10. Reinstatements Denied 11. All Other Dispositions 12. Total Closed	24 4 33 ² 4 0 12 3 0 8 6 51 143	
	E.	Cases Pending at Close of Period 1. Disciplinary Proceedings 2. Other	33 23 10	

For purposes of this report, "Matters" represents the number of complaints and sua sponte investigations, while "Cases" refers to the number of respondent-attorneys against whom proceedings have been instituted or action has been taken. As some attorneys are the subject of multiple complaints, the number of matters exceeds the number of cases.

Includes 17 definite, 15 interim and 1 indefinite suspensions.

Grievance Committee for the Second and Eleventh Judicial Districts, Second Department

A. Matters Pending at Start of Period 712 B. New Matters Received During Period 2357 C. Closed Matters Reactivated During Period 21 D. Total Matters Disposed of During Period 3090 E. Total Matters Pending at Close of Period 885 II. Matters Pending at Close of Period 885 II. Matters Disposed of by Committee CASES MATTERS II. Matters Disposed of by Committee CASES MATTERS II. Matters Disposed of by Committee CASES MATTERS II. Matters Disposed of During Period 1089 1089 B. Referred to Other Disciplinary Committees/Agencies 489 489 489 C. Dismissed of Withdrawn 331 34 40 40 40 40 40	I.	Matte	ers Processed		
D. Total Matters to be Processed During Period 3090 E. Total Matters Disposed of During Period 2205 F. Matters Pending at Close of Period 885 II. Matters Disposed of by Committee CASES MATTERS A. Rejected as Failing to State a Complaint 1089 1089 B. Referred to Other Disciplinary Committees/Agencies 489 489 C. Dismissed or Withdrawn 331 331 D. Dismissed through Mediation 8 8 E. Letter of Caution 105 111 F. Letter of Admonition 44 50 G. Reprimand After Hearing 0 0 H. Referred to Appellate Division 29 111 I. Other 8 16 J. Total Disposed of During Period 20 20 III. Cases Processed by Court 49 49 A. Cases Pending at Start of Period 49 49 1. Disciplinary Proceedings 31 2. 2. Other 30 29 2. Other 30 20 D. Cases Closed 1 <th></th> <th>B.</th> <th>New Matters Received During Period</th> <th>2357</th> <th></th>		B.	New Matters Received During Period	2357	
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I. Other J. Total Disposed of During Period 2103 2205 III. Cases Processed by Court A. Cases Pending at Start of Period 49 1. Disciplinary Proceedings 31 2. Other 18 B. Cases Received During Period 59 1. Disciplinary Proceedings 29 2. Other 30 20 C. Total to be Processed During Period 108 D. Cases Closed 1. Disbarred 108 2. Resigned 6 3. Suspend 114 4. Censured 4 5. Privately Censured 0 6. Remanded to Grievance Committee 7. Discontinued 3 8. Dismissed 0 9. Reinstatements Granted 10. Reinstatements Granted 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47					
J. Total Disposed of During Period 2103 2205 III. Cases Processed by Court A. Cases Pending at Start of Period 49 1. Disciplinary Proceedings 31 2. Other 18 B. Cases Received During Period 59 1. Disciplinary Proceedings 29 2. Other 30 C. Total to be Processed During Period 108 D. Cases Closed 1. Disbarred 10 2. Resigned 6 3. Suspend 14 4. Censured 4 5. Privately Censured 0 6. Remanded to Grievance Committee 0 7. Discontinued 3 8. Dismissed 0 9. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47					
Mathematical Processed By Court A. Cases Processed by Court					
A. Cases Pending at Start of Period 1. Disciplinary Proceedings 2. Other B. Cases Received During Period 59 1. Disciplinary Proceedings 2. Other 30 C. Total to be Processed During Period 108 D. Cases Closed 1. Disbarred 1. Disbarred 2. Resigned 3. Suspend 4. Censured 4. Censured 5. Privately Censured 6. Remanded to Grievance Committee 7. Discontinued 8. Dismissed 9. Reinstatements Granted 10. Reinstatements Granted 11. Non-Disciplinary Resignations 12. All Other Dispositions 16. Total Closed 17. Cases Pending at Close of Period 47.		J.	Total Disposed of During Period	2103	2205
1. Disciplinary Proceedings 31 2. Other 18 B. Cases Received During Period 59 1. Disciplinary Proceedings 29 2. Other 30 C. Total to be Processed During Period 108 D. Cases Closed 1 1. Disbarred 10 2. Resigned 6 3. Suspend 14 4. Censured 4 5. Privately Censured 0 6. Remanded to Grievance Committee 0 7. Discontinued 3 8. Dismissed 0 9. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47	III.	Cases	s Processed by Court		
2. Other 18 B. Cases Received During Period 59 1. Disciplinary Proceedings 29 2. Other 30 C. Total to be Processed During Period 108 D. Cases Closed 1 1. Disbarred 10 2. Resigned 6 3. Suspend 14 4. Censured 4 5. Privately Censured 0 6. Remanded to Grievance Committee 0 7. Discontinued 3 8. Dismissed 0 9. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47		A.	Cases Pending at Start of Period	49	
B. Cases Received During Period 1. Disciplinary Proceedings 2. Other 3. 30 C. Total to be Processed During Period 108 D. Cases Closed 1. Disbarred 1. Disbarred 2. Resigned 3. Suspend 4. Censured 4. Censured 5. Privately Censured 6. Remanded to Grievance Committee 7. Discontinued 8. Dismissed 9. Reinstatements Granted 10. Reinstatements Granted 11. Non-Disciplinary Resignations 11. Non-Disciplinary Resignations 12. All Other Dispositions 13. Total Closed 14. Cases Pending at Close of Period				31	
1. Disciplinary Proceedings 29 2. Other 30 C. Total to be Processed During Period 108 D. Cases Closed 1. Disbarred 10 2. Resigned 6 3. Suspend 14 4. Censured 4 5. Privately Censured 0 6. Remanded to Grievance Committee 0 7. Discontinued 3 8. Dismissed 0 9. Reinstatements Granted 8 10. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47			2. Other	18	
2. Other 30 C. Total to be Processed During Period 108 D. Cases Closed 1 1. Disbarred 10 2. Resigned 6 3. Suspend 14 4. Censured 4 5. Privately Censured 0 6. Remanded to Grievance Committee 0 7. Discontinued 3 8. Dismissed 0 9. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78		B.	Cases Received During Period	59	
C. Total to be Processed During Period 108 D. Cases Closed 1. Disbarred 10 2. Resigned 6 3. Suspend 14 4. Censured 4 5. Privately Censured 0 6. Remanded to Grievance Committee 0 7. Discontinued 3 8. Dismissed 0 9. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47			 Disciplinary Proceedings 	29	
D. Cases Closed 1. Disbarred 2. Resigned 3. Suspend 4. Censured 4. Censured 5. Privately Censured 6. Remanded to Grievance Committee 7. Discontinued 8. Dismissed 9. Reinstatements Granted 10. Reinstatements Granted 11. Non-Disciplinary Resignations 12. All Other Dispositions 13. Total Closed E. Cases Pending at Close of Period			2. Other	30	
1.Disbarred102.Resigned63.Suspend144.Censured45.Privately Censured06.Remanded to Grievance Committee07.Discontinued38.Dismissed09.Reinstatements Granted810.Reinstatements Denied011.Non-Disciplinary Resignations1712.All Other Dispositions1613.Total Closed78		C.	Total to be Processed During Period	108	
2. Resigned 3. Suspend 4. Censured 5. Privately Censured 6. Remanded to Grievance Committee 7. Discontinued 8. Dismissed 9. Reinstatements Granted 10. Reinstatements Denied 11. Non-Disciplinary Resignations 12. All Other Dispositions 13. Total Closed 6 6 7 8 6 7 7 8 7 8 7 8 8 8 9 9 9 9 10 11 10 11 11 11 12 12 13 14 15 15 16 16 17 17 18 18 18 19 19 10 10 11 10 11 11 11 11 11 11 12 11 11 11 11 11 11		D.	Cases Closed		
3. Suspend 14 4. Censured 4 5. Privately Censured 0 6. Remanded to Grievance Committee 0 7. Discontinued 3 8. Dismissed 0 9. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period			1. Disbarred	10	
3. Suspend 14 4. Censured 4 5. Privately Censured 0 6. Remanded to Grievance Committee 0 7. Discontinued 3 8. Dismissed 0 9. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period			2. Resigned	6	
5. Privately Censured 0 6. Remanded to Grievance Committee 0 7. Discontinued 3 8. Dismissed 0 9. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47				14	
6. Remanded to Grievance Committee 0 7. Discontinued 3 8. Dismissed 0 9. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47			4. Censured	4	
7. Discontinued 3 8. Dismissed 0 9. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47			5. Privately Censured	0	
8. Dismissed 0 9. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47			6. Remanded to Grievance Committee	0	
9. Reinstatements Granted 8 10. Reinstatements Denied 0 11. Non-Disciplinary Resignations 17 12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47			7. Discontinued	3	
10.Reinstatements Denied011.Non-Disciplinary Resignations1712.All Other Dispositions1613.Total Closed78E.Cases Pending at Close of Period47			8. Dismissed	0	
11.Non-Disciplinary Resignations1712.All Other Dispositions1613.Total Closed78E.Cases Pending at Close of Period47			9. Reinstatements Granted	8	
12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47			10. Reinstatements Denied	0	
12. All Other Dispositions 16 13. Total Closed 78 E. Cases Pending at Close of Period 47			11. Non-Disciplinary Resignations	17	
13. Total Closed 78E. Cases Pending at Close of Period 47				16	
O Company of the comp			*	78	
		E.	Cases Pending at Close of Period	47	
1. Discipinary i foccounts			1. Disciplinary Proceedings	27	
2. Other 20					

* Includes "Dismissals with Advisements."

Brooklyn Bar Association Committees

Total Complaints Received	47
Deceased	0
Withdrawn	3
Complaints completed	40
Complaints Pending	4
Fee Dispute Resolution Files Received	72
No response from complainant	29
Resolved after mediation/arbitration	30
Lack of jurisdiction	3
Withdrawn	1
Returned to Grievance Committee	1
Client failed to appear	1
Pending	7
Mediation Complaints Received	10
Withdrawn	1
Returned to Grievance Committee	3
Resolved between attorney and complainant	3
Complaint lacked merit	0
Pending	3
Queens County Bar Association Committees	
Grievance Committee	
Dismissed	30
Dismissed with Advisement	2
Letter of Caution	0
Letter of Admonition	0
Referred to District Grievance Committee	2
Withdrawn	3
Tabled	2
Fee Disputes Committee	
No Consent from Respondent	2
No Consent from Complainant	1
Matter Settled Prior to Arbitration	0
No Consent from Either Complainant or Respondent	0
Declination of Arbitration by Complainant/Respondent	3
Withdrawn by complainant	0
Pending	4

Richmond County Bar Association Grievance Committee

Matters Processed	
Pending at start of period	28
New matters received	49
Closed matters reactivated	0
Total matters processed	77
Matters disposed of	26
Matters pending	44
Richmond County	29
Appellate Division	14
Fee Conciliation	1
Matters Disposed of By Committee	
Rejected as failing to state complaint	2
Retained by 2 nd & 11 th District for investigation	14
Referred to Fee Conciliation Committee	1
Settled by Fee	0
Judicially settled	0
Disbarred	0
Suspended	1
Investigation suspended pending litigation	1
Closed by Appellate Division	4
Dismissed	16
Letter of caution	1
Withdrawn	10
Letter of education	0
Mediation	2
Total Disposed of	52

Grievance Committee for the Ninth Judicial District, Second Department

I. **Matters Processed** Matters Pending at Start of Period 834 A. B. New Matters Received During Period 1935 C. Closed Matters Reactivated During Period 14 D. Total Matters to be Processed During Period 2783 E. Total Matters Disposed of During Period 2114 F. Matters Pending at Close of Period 669 II. Matters Disposed of by Committee **CASES MATTERS** A. Rejected as Failing to State a Complaint 729 Referred to Other Disciplinary Committees В. 423 C. Referred to Other Agencies 145 D. Dismissed or Withdrawn 579 E. Letter of Caution 80 F. Letter of Admonition 54 G. Reprimand After Hearing 0 H. Referred to Appellate Division 83 104 Total Disposed of During Period I. 2114 III. **Cases Processed by Court** Cases Pending at Start of Period 21 30 A. Disciplinary Proceedings 21 Other 0 Cases Received During Period B. 83 104 **Disciplinary Proceedings** 1. 42 Other 41 C. Total to be Processed During Period 104 134 D. Cases Closed Disbarred 13 16 1. 2. Resigned 3 7 3. Suspended 13 21 4. Censured 1 1 **Privately Censured** 5. 0 Remanded to Grievance Committee 6. 0 7. Discontinued 1 1 8. Dismissed 0 9. Reinstatements Granted 1 1 10. Reinstatements Denied 1 1 Non-Disciplinary Resignations 25 25 11. 12. All Other Dispositions 11 12 **Total Closed** 13. 69 85 E. Cases Pending at Close of Period 35 49 Disciplinary Proceedings 1. 6 11

29

38

2.

Other

Dutchess County Bar Association Grievance Committee

Second Department, Ninth Judicial District

I.	Matt	ters Processed	
	A.	Matters Pending at Start of Period	10
	B.	New Matters Received During Period	18
	C.	Closed Matters Reactivated During Period	(
	D.	Total Matters to be Processed During Period	28
	E.	Total Matters Disposed of During Period	22
	F.	Matters Pending at Close of Period	ϵ
II.	Matt	ters Disposed of by Committee	
	A.	Rejected as Failing to State a Complaint	(
	B.	Referred to Other Disciplinary Committees	1
	C.	Referred to Other Agencies	(
	D.	Dismissed	17
	E.	Dismissed with Cautionary Language	
	F.	Letter of Caution	3 1
	G.	Letter of Admonition	(
	H.	Reprimand	(
	I.	Total Disposed of During Period	22
I.	-	artment, Ninth Judicial District ters Processed	
1.	Widt	acis Hocessed	
	A.	Matters Pending at Start of Period	11
	B.	New Matters Received During Period	23
	C.	Closed Matters Reactivated During Period	2
	D.	Total Matters to be Processed During Period	36
	E.	Total Matters Disposed of During Period	31
	F.	Matters Pending at Close of Period	5
II.	Matt	ters Disposed of by Committee	
	A.	Rejected as Failing to State a Complaint	(
	B.	Referred to Other Disciplinary Committees	(
	C.	Referred to Other Agencies	(
	D.	Dismissed	29
	E.	Dismissed with Cautionary Language	2
	F.	Letter of Caution	(
	G.	Letter of Admonition	(
	H.	Reprimand	(
	I.	Total Disposed of During Period	31

Putnam County Bar Association Grievance Committee

Second Department Ninth Judicial District

Seco	na Depa	artment, Ninth Judicial District	
I.	Matt	ters Processed	
	A.	Matters Pending at Start of Period	6
	В.	New Matters Received During Period	9
	C.	Closed Matters Reactivated During Period	0
	D.	Total Matters to be Processed During Period	15
	E.	Total Matters Disposed of During Period	13
	F.	Matters Pending at Close of Period	2
II.	Matt	ers Disposed of by Committee	
	A.	Rejected as Failing to State a Complaint	0
	B.	Referred to Other Disciplinary Committees	3
	C.	Referred to Other Agencies	0
	D.	Dismissed	8
	E.	Dismissed with Cautionary Language	1
	F.	Letter of Caution	1
	G.	Letter of Admonition	0
	Н.	Reprimand	0
	I.	Total Disposed of During Period	13
Roc	kland (County Bar Association Grievance Committee	
Seco	nd Depa	artment, Ninth Judicial District	
I.	Matt	ters Processed	
	A.	Matters Pending at Start of Period	15
	B.	New Matters Received During Period	23
	C.	Closed Matters Reactivated During Period	0
	D.	Total Matters to be Processed During Period	38
	E.	Total Matters Disposed of During Period	28
	F.	Matters Pending at Close of Period	10
II.	Matt	ers Disposed of by Committee	
	A.	Rejected as Failing to State a Complaint	0
	B.	Referred to Other Disciplinary Committees	2*
	C.	Referred to Other Agencies	0
	D.	Dismissed	23
	E.	Dismissed with Cautionary Language	2
	F.	Letter of Caution	1
	G.	Letter of Admonition	0

0

28

Referred to Ninth District Committee.

Reprimand

Total Disposed of During Period

H.

I.

Westchester County Bar Association Grievance Committee

Second Department, Ninth Judicial District

	s Processed

II.

A.	Matters Pending at Start of Period	38
B.	New Matters Received During Period	121
C.	Closed Matters Reactivated During Period	0
D.	Total Matters to be Processed During Period	159
E.	Total Matters Disposed of During Period	113
F.	Matters Pending at Close of Period	46
Matt	ers Disposed of by Committee	
A.	Rejected as Failing to State a Complaint	0
B.	Referred to Other Disciplinary Committees	6
C.	Referred to Other Agencies	0
D.	Dismissed	102
E.	Dismissed with Cautionary Language	3
F.	Letter of Caution	2
G.	Letter of Admonition	0
H.	Reprimand	0
I.	Withdrawn	0
J.	Total Disposed of During Period	113

Grievance Committee for the Tenth Judicial District, Second Department

I. **Matters Processed** Matters Pending at Start of Period A. 1442 B. New Matters Received During Period 2458 C. Closed Matters Reactivated During Period 35 D. Total Matters to be Processed During Period 3935 E. Total Matters Disposed of During Period 2392 F. Matters Pending at Close of Period 1543 II. Matters Disposed of by Committee **CASES MATTERS** A. Rejected as Failing to State a Complaint 1072 1072 Referred to Other Disciplinary Committees B. 422 422 C. Referred to Other Agencies 142 142 D. Dismissed or Withdrawn 507 507 E. Dismissed through Mediation 25 25 F. Letter of Caution 67 68 G. Letter of Admonition 41 44 H. Reprimand After Hearing 0 0 I. Referred to Appellate Division 39 50 J. 55 62 K. Total Disposed of During Period 2370 2392 III. Cases Processed by Court 92 A. Cases Pending at Start of Period Disciplinary Proceedings 24 1. 2. Other 68 Cases Received During Period B. 105 Disciplinary Proceedings 1. 17 2. Other 88 C. Total to be Processed During Period 197 D. Cases Closed 7 1. Disbarred 2. Resigned 2 3. Suspended 11 Censured 4. 6 5. Privately Censured 0 6. Remanded to Grievance Committee 4 7. Discontinued 4 8. Dismissed 0 9. Reinstatements Granted 5 Reinstatements Denied 10. 2 Non-disciplinary Resignations 11. 46 11. All Other Dispositions 22 **Total Closed** 12. 109 E. Cases Pending at Close of Period 88 Disciplinary Proceedings 21 1. 2. Other 67

Nassau County Bar Association Second Department, Tenth Judicial District

A.	Cases Referred to Grievance Committee	96
B.	Cases Referred to Mediation	14
	Total Cases Referred:	110
	lk County Bar Association d Department, Tenth Judicial District	
A.	Cases Referred to Grievance Committee	71
B.	Cases Referred to Mediation	11
	Total Cases Referred	82
Total	Cases Referred to Local Bar Associations:	192

Committee on Professional Standards, Third Department (Third, Fourth and Sixth Judicial Districts)

2.

Other

I. **Matters Processed** Matters Pending at Start of Period 1519 A. New Matters Received During Period B. 1651 C. Closed Matters Reactivated During Period 41 Total Matters to be Processed During Period D. 3211 E. Total Matters Disposed of During Period 2594 F. Matters Pending at Close of Period 617 II. Matters Disposed of by Committee **CASES MATTERS** Rejected as Failing to State a Complaint 891 927 A. Referred to Other Disciplinary Committees B. 107 107 C. Referred to Other Agencies 19 19 Dismissed or Withdrawn D. 311 1295 E. Dismissed Through Mediation 0 0 F. Letter of Caution 47 50 G. Letter of Admonition 17 23 H. Reprimand After Hearing 12 18 I. Referred to Appellate Division 144 155 Total Disposed of During Period J. 1548 2594 III. **Cases Processed by Court** Cases Pending at Start of Period 35 A. Disciplinary Proceedings 35 1. 2. Other 0 Cases Received During Period B. 149 1. Disciplinary Proceedings 73 2. Other 76 C. Total to be Processed During Period 184 D. Cases Closed 9 1. Disbarred 2. 0 Resigned 3. Suspended 14 4. Censured 2 5. **Privately Censured** 0 Remanded to Grievance Committee 6. 1 7. Discontinued 0 8. Dismissed 0 9. Reinstatements Granted 9 10. Reinstatements Denied 1 Non-Disciplinary Resignations 11. 77 12. All Other Dispositions 44 13. **Total Closed** 157 E. Cases Pending at Close of Period 27 Disciplinary Proceedings 27 1.

0

Grievance Committee for the Fifth Judicial District, Fourth Department

I. **Matters Processed** Matters Pending at Start of Period 272 A. B. New Matters Received During Period 571 C. Closed Matters Reactivated During Period 6 D. Total Matters to be Processed During Period 849 E. Total Matters Disposed of During Period 581 F. Matters Pending at Close of Period 268 II. Matters Disposed of by Committee **CASES MATTERS** A. Rejected as Failing to State a Complaint 212 215 Referred to Other Disciplinary Committees B. 29 29 C. Referred to Other Agencies 9 9 D. Dismissed or Withdrawn 168 195 E. Dismissed Through Mediation 0 0 F. Letter of Caution 76 85 G. Letter of Admonition 5 6 H. Reprimand After Hearing N/A N/A I. Referred to Appellate Division 42 11 Total Disposed of During Period J. 510 581 **Cases Processed by Court** III. Cases Pending at Start of Period 4 A. Disciplinary Proceedings 4 Other 2. 0 Cases Received During Period B. 11 Disciplinary Proceedings 1. 11 2. Other 0 C. Total to be Processed During Period 15 D. Cases Closed 1. Disbarred 1 2. Resigned 1 3. Suspended 0 Censured 2 4. 5. Privately Censured 0 2 6. Remanded to Grievance Committee 7. Discontinued 0 8. Dismissed 0 9. Reinstatements Granted 0 10. Reinstatements Denied 0 Non-Disciplinary Resignations 11. 0 11. All Other Dispositions 1 **Total Closed** 7 12. E. Cases Pending at Close of Period 8 Disciplinary Proceedings 8 1. 2. Other 0

Grievance Committee for the Seventh Judicial District, Fourth Department

I. **Matters Processed** Matters Pending at Start of Period 167 A. B. New Matters Received During Period 699 C. Closed Matters Reactivated During Period 37 D. Total Matters to be Processed During Period 903 E. Total Matters Disposed of During Period 753 F. Matters Pending at Close of Period 150 **CASES** II. Matters Disposed of by Committee **MATTERS** Rejected as Failing to State a Complaint A. 294 337 Referred to Other Disciplinary Committees B. 106 114 C. Referred to Other Agencies 0 0 D. Dismissed or Withdrawn 207 233 E. Dismissed Through Mediation 0 0 F. Letter of Caution 30 39 G. Letter of Admonition 5 12 H. Reprimand After Hearing N/A N/A I. Referred to Appellate Division 18 15 Total Disposed of During Period J. 657 753 **Cases Processed by Court** III. Cases Pending at Start of Period 9 A. Disciplinary Proceedings 6 Other 2. 3 Cases Received During Period 18 B. Disciplinary Proceedings 1. 6 2. Other 12 C. Total to be Processed During Period 27 D. Cases Closed 1. Disbarred 0 2. Resigned 1 3. Suspended 3 Censured 5 4. 5. Privately Censured 0 6. Remanded to Grievance Committee 0 7. Discontinued 0 8. Dismissed 0 9. Reinstatements Granted 1 10. Reinstatements Denied 2 Non-Disciplinary Resignations 0 11. 12. All Other Dispositions 5 **Total Closed** 17 13. E. Cases Pending at Close of Period 10 Disciplinary Proceedings 5 1. 2. Other 5

Grievance Committee for the Eighth Judicial District, Fourth Department

I. **Matters Processed** Matters Pending at Start of Period 421 A. B. New Matters Received During Period 1151 C. Closed Matters Reactivated During Period 60 D. Total Matters to be Processed During Period 1632 E. Total Matters Disposed of During Period 1203 F. Matters Pending at Close of Period 429 **CASES** II. Matters Disposed of by Committee **MATTERS** Rejected as Failing to State a Complaint A. 434 492 Referred to Other Disciplinary Committees B. 25 25 C. Referred to Other Agencies 3 3 D. Dismissed or Withdrawn 337 419 E. Dismissed Through Mediation 0 0 F. Letter of Caution 108 141 G. Letter of Admonition 27 18 H. Reprimand After Hearing N/A N/A I. Referred to Appellate Division 59 96 Total Disposed of During Period J. 984 1203 III. **Cases Processed by Court** Cases Pending at Start of Period 22 A. Disciplinary Proceedings 6 Other 2. 16 Cases Received During Period B. 60 Disciplinary Proceedings 1. 22 2. Other 38 C. Total to be Processed During Period 82 D. Cases Closed 1. Disbarred 4 2. Resigned 1 3. Suspended 7 Censured 4. 3 5. Privately Censured 0 2 6. Remanded to Grievance Committee 7. Discontinued 0 0 8. Dismissed 9. 3 Reinstatements Granted 10. Reinstatements Denied 1 Non-Disciplinary Resignations 11. 26 12. All Other Dispositions 23 **Total Closed** 70 13. E. Cases Pending at Close of Period 12 Disciplinary Proceedings 1. 11 2. Other 1

Disciplinary Decisions Reported by Appellate Division in 2006

FIRST DEPARTMENT

Alexander B. Dranov Suspended 2 years 22 NYCRR 6-3.3 (reciprocal dis-26 AD3d 26 cipline – New Jersey, 3 months suspension). Disbarred Felony conviction – 18 USC David A. Appell 27 AD3d 81 §1621 (perjury); 15 USC §§77q(a), 77x, 18 USC §371 (securities, mail and wire fraud); 15 USC §78j(b), 78ff (conspiracy to commit securities fraud, wire fraud, commercial bribery). Douglas P. Schmell Disbarred DR 1-102(A)(4)(dishonesty, 27 AD3d 24 fraud, deceit or misrepresentation); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(7)(fitness); DR 9-102(A), (C)(2) (mishandling and conversion of client funds); DR 9-102(B)(1) (commingling); DR 9-102(D)(1), (2) (bookkeeping). Olga Sorkin Disbarred Felony conviction - Penal Law 28 AD3d 23 §175.35 (offering false instrument for filing, 1st degree). Richard Boter Interim suspension 22 NYCRR 603.4(e)(1)(i), (iii) 27 AD3d 137 (willful failure to cooperate with Committee's investigation, uncontested evidence of professional misconduct immediately threatening public interest). Glenn J. Caldwell Suspended 3 years DR 1-102(A)(4)(dishonesty, 27 AD3d 153 fraud, deceit or misrepresentation); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(7)(conduct adversely reflecting on fitness). Zoilo I. Silva Suspended 2 years DR 1-102(A)(4), (5)(dishonesty, 28 AD3d 11 fraud, deceit or misrepresentation; conduct prejudicial to administration of justice); DR 9-102(A)(commingling); DR 9-102 (B)(failure to maintain separate account); DR 9-102(D)(failure to maintain records); DR 9-102(E) (withdrawal of funds from escrow account). Edmund D. Kahn Censured 22 NYCRR 603.3 (reciprocal dis-

28 AD3d 161 cipline - Arizona). Edmund D. Kahn Suspended Judiciary Law §468-a (failure to 28 AD3d 161 pay registration fee). Eric A. Klein Interim suspension Serious crime – 18 USC §§371, 28 AD3d 102 1343 (conspiracy to commit wire fraud, wire fraud). Thomas A. Bolan Resigned 22 NYCRR 603.11. 28 AD3d 172 Anthony J. Fauci Suspended 3 years DR 1-102(A)(4)(dishonesty, 28 AD3d 192 fraud, deceit or misrepresentation); DR 1-102(A)(5)(conduct prejudicial to administration of justice). Christopher J. Fauci Suspended 18 months DR 1-102(A)(4)(dishonesty, 28 AD3d 192 fraud, deceit or misrepresentation); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 6-101(A)(3) (neglect). Disbarred James P. Cornelio Felony conviction – Penal Law 29 AD3d 55 §130.45(a)(criminal sexual act, 2nd degree); Penal Law §263.16 (possession of sexual performance by a child); Penal Law §130.52(1)(forcible touching); Penal Law §130.55 (sexual abuse, 3rd degree); Penal Law §260.10(1)(endangering welfare of a child). Michael L. Marley Suspended 18 months DR 1-102(A)(7)(conduct ad-29 AD3d 1 versely reflecting on fitness); DR 9-102(A)(1) (misappropriation); DR 9-102(C)(4)(refusal to promptly pay bail refund to client). Israel G. Grossman Interim suspension 22 NYCRR 603.4(3)(1)(iii) (un-20 AD3d 85 contested evidence of professional misconduct immediately threatening public interest). Steven A. Kirschenbaum Disbarred DR 1-102(A)(4)(conversion; dis-29 AD3d 96 honesty, fraud, deceit or misrepresentation); DR 1-102(A)(7) (fitness); DR 9-102(A) (misappropriation). 22 NYCRR 603.4(g)(failure to Disbarred Joseph J. Pierini

appear or apply to court or

29 AD3d 73

committee for hearing or reinstatement within 6 months of suspension).

Eric Veski 29 AD3d 250 Suspended 3 years

DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(7)(fitness) (collateral estoppel).

Baird Cuber 30 AD3d 79 Disbarred

22 NYCRR 603.4(g)(failure to appear or apply to court or committee for hearing or reinstatement within 6 months of suspension).

Ali Drake 30 AD3d 39 Disbarred

Felony conviction – 18 USC §1344, 18 USC §2 (bank fraud) (Penal Law §190.65, scheme to defraud 1st degree; Penal Law §155.40, grand larceny).

Maurice B. Melman 30 AD3d 122 Disbarred

DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 6-101(A)(3) (neglect); DR 9-102(A) (misappropriation); DR 9-102(C)(4) (failure to promptly pay client funds).

Bertram Brown 31 AD3d 46 Disbarred

Judiciary Law §486 (practicing law while suspended).

Walter A. Murawinski 30 AD3d 129 Interim suspension

22 NYCRR 603.4(e)(1)(i), (iii) (willful failure to cooperate with Committee's investigation, uncontested evidence of professional misconduct immediately threatening public interest).

Samuel Gen 29 AD3d 230 Disbarred

Serious crime – Penal Law §110/155.30(1) (attempted grand larceny, 4th degree); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(7)(fitness).

Mark Broydes 29 AD3d 247 Indefinite suspension

22 NYCRR 603.11 (physical disability).

Charles C. Day 29 AD3d 240 Disbarred

DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 2-110(A)(3)(failure

to return unearned portion of fee); DR 6-101(A)(3)(neglect); DR 7-101(A)(3)(prejudicing, damaging client).

Joel A. Silberman 31 AD3d 21 Disbarred

Felony conviction – Penal Law §220.39(1)(criminal sale of controlled substance, 3rd degree).

Fredric Zarkin 31 AD3d 133 Disbarred

Felony conviction – Penal Law §§175.35, 175.10 (Offering false instrument for filing, 1st degree; falsifying business records, 1st degree).

Morton S. Robson 31 AD3d 163 Disbarred

DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(7)(fitness); DR 9-102(A)(misappropriation); DR 9-102(C)(3)(failure to render accounting).

Saul A. Berkman 32 AD3d 89 Suspended 1 year

DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(7)(fitness); DR 6-101(A)(2)(inadequate preparation of legal matter); DR

6-101(A)(3)(neglect).

Andres M. Aranda 32 AD3d 58 Suspended 1 year

DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 6-101(A)(3)(neglect).

George S. Balis 32 AD3d 66 Interim suspension

Felony conviction – 15 USC §§77q(a), 77x, 18 USC §§371, 1343 (conspiracy to commit securities fraud and/or wire fraud); 15 USC §§77q(a), 77, 18 USC §2 (securities fraud); 18 USC §§1343, 1 (wire fraud).

Leslie S. Kohn 31 AD3d 203 Disbarred

DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 9-102(A) (commingling, misappropriation); DR 9-102(C)(4)(failure to promptly deliver client funds); DR 9-102 (D)(2)(failure to keep proper

banking records).

Robert J. Pape, Jr. 31 AD3d 156

Disbarred

DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(5)(conduct prejudicial to administration of

justice); DR 1-102(A)(7)(fitness); DR 9-102(D)(failure to maintain adequate financial records).

Robert S. Grossman 33 AD3d 1

Disbarred

Felony conviction – 18 USC §1001 (making false statements) (Penal Law §210.15, Perjury 1st degree).

Patrick Carmody 32 AD3d 173

Suspended 1 year

DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(7)(fitness).

Stephen J. Williams 33 AD3d 38

Suspended 6 months

22 NYCRR 603.3 (reciprocal dis-

Disbarred

cipline – California).

Carlos Perez-Olivo 33 AD3d 141

DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation [includes conversion]); DR 7-101(A)(3)(intentionally prejudice or damage client); DR 2-110 (A)(3)(failure to return unearned portion of fee); DR 9-102 (C)(3)(failure to render appropriate account); DR 2-106(A)

(excessive fee); DR 5-105(A) (failure to decline employment

[conflict]).

Barry I. Spiegler 33 AD3d 187

Interim suspension

22 NYCRR 603.4(e)(1)(i), (iii) (willful failure to cooperate with committee's investigation; uncontested evidence of professional misconduct immediately threatening public interest).

William P. DiSalvatore 33 AD3d 195

Resigned

22 NYCRR 603.11.

David W. Denenberg 33 AD3d 198

Suspended 90 days

Misdemeanor conviction - Election Law §17-122(7).

Mercedes Medina 33 AD3d 250

Interim suspension

22 NYCRR 604.4(e)(1)(willful failure to cooperate with com-

mittee's investigation).

Damay Hoffman 34 AD3d 1

Censured

22 NYCRR 603.3 (reciprocal discipline - U.S. Court of Appeals,

11th Circuit).

Douglas Terry Simons 34 AD3d 136

Disbarred

22 NYCRR 603.3 (reciprocal discipline - North Carolina).

Francis N. Sowah

35 AD3d 55

Interim suspension

22 NYCRR 603.4(e)(1)(i)(willful failure to cooperate with com-

mittee's investigation).

Thomas A. Stickel 34 AD3d 139	Indefinite suspension	22 NYCRR 603.16(c)(1)(medical disability).
Lawrence B. Newman 35 AD3d 23	Interim suspension	22 NYCRR 603.4(e)(1)(iii) (uncontested evidence of professional misconduct immediately threatening public interest).
Linda J. Stanch 35 AD3d 18	Interim suspension	22 NYCRR 603.4(e)(1)(i)(willful failure to cooperate with committee's investigation).
John E. Fasciana 35 AD3d 9	Interim suspension	Serious crime – 18 USC §§371, 1341, 1342, 1343 (conspiracy to commit wire fraud and mail fraud).
Michael L. Steindam 36 AD3d 1	Resigned	22 NYCRR 603.11.
Frank DeGrasse 36 AD3d 126	Interim suspension	22 NYCRR 603.4(e)(1)(i), (iii) (willful failure to cooperate with committee's investigation; uncontested evidence of professional misconduct immediately threatening public interest).
Jay I. Gordon 36 AD3d 268	Resigned	22 NYCRR 603.11.
	Resigned Interim suspension	22 NYCRR 603.11. 22 NYCRR 603.4(e)(1)(iii) (uncontested evidence of professional misconduct immediately threatening public interest).
36 AD3d 268 Berthold H. Hoeniger	Ü	22 NYCRR 603.4(e)(1)(iii) (uncontested evidence of professional misconduct immediately
36 AD3d 268 Berthold H. Hoeniger 36 AD3d 268 Glenn A. Kiczales	Interim suspension	22 NYCRR 603.4(e)(1)(iii) (uncontested evidence of professional misconduct immediately threatening public interest). DR 7-104(A)(1)(communication with adverse party without attorney's consent); DR 1-102(A) (4)(dishonesty, fraud, deceit or misrepresentation); DR 7-101 (A)(3)(conduct prejudicial to client); DR 5-101(A)(conflict of interest); DR 1-102(A)(7) (fit-

Richard Pu 37 AD3d 56 Suspended 1 year

22 NYCRR 603.3 (reciprocal discipline - USDC, Southern District); DR 1-102(A)(1), (5) (conduct prejudicial to administra-

tion of justice).

H. Allen Whitehead

37 AD3d 86

Suspended 18 months

22 NYCRR 603.3 (reciprocal dis-

cipline - Maryland).

Jose R. Martinez 37 AD3d 103

Interim suspension

22 NYCRR 603.4(e)(1)(ii), (iii) (substantial admissions under oath; uncontested evidence of professional misconduct immediately threatening public inter-

Michael M. Milchman

37 AD3d 77

Censured

22 NYCRR 603.3 (reciprocal dis-

cipline – Florida).

Ronald M. Sims 36 AD3d 304

Censured

22 NYCRR 603.3 (reciprocal dis-

cipline - New Jersey).

Joshua J. Just 38 AD3d 68

Disbarred

Felony conviction – Penal Law

§190.65(1)(a)(scheme to de-

fraud, 1st degree).

Michael Mann 38 AD3d 87

Disbarred

Felony conviction – Penal Law §190.65(1)(a)(scheme to de-

fraud, 1st degree).

SECOND DEPARTMENT

(Second and Eleventh Judicial Districts)

Michael G. Rosenblatt 26 AD3d 49

Censured

Serious crime conviction - Soliciting business on behalf of an attorney (Judiciary Law §479).

Steven J. Nechamkus

Reinstated

Charles F. Darlington

Reinstated

Paul S. Mirman

Reinstated

Robert E. Liedy

Reinstated

Clarence Norman 27 AD3d 121

Disbarred

Felony conviction – Falsifying business records 1st degree (Penal Law §175.10), violation of Elec-

tion Law §14-126(4).

George E. Kersey

Censured

Reciprocal discipline - New

27 AD3d 118 Hampshire.

Reinstated

Craig E. Parles Disbarred Reciprocal discipline - New Jer-

28 AD3d 26 sey.

John Spiridakis

Suzanne Drysdale

Lloyd Jonathan Manning

Neal M. Sher

Thomas G. Sheehan Disbarred (on default) Failure to cooperate. 28 AD3d 96

Disbarred (resigned) Stanley E. Wilson Making material misrepresenta-

28 AD3d 99 tions on application for admission to the Bar.

27 AD3d 196 sible conflicts of interest.

Grace P. Thompson Disbarred (resigned) Neglect. 29 AD3d 153

Censured

Eric Steele Suspended 3 years Reciprocal discipline - District of

Columbia. 30 AD3d 92

Yves-Merry Telemaque Censured Failing to properly safeguard

30 AD3d 82 escrow funds; drawing checks from IOLA account prior to deposit of corresponding client funds; commingling; improperly drawing IOLA check to cash; failing to maintain contempora-

neous ledger for account.

Disbarred Seth Bader Felony conviction – Murder 1st

degree, conspiracy to commit 31 AD3d 14 murder (New Hampshire).

Sheldon H. Kronegold Suspended 2 years Failing to timely file retainer and

29 AD3d 236 closing statements with OCA, employing non-lawyer to solicit retainers to perform legal ser-

vices.

Keith G. Rubenstein Allowing non-lawyers to operate Interim suspension

law practice.

Reinstated

Reinstated

Laverne M. Cave

Suspended 1 year Failure to cooperate, failure to re-31 AD3d 42 register as an attorney.

Joseph G. Amato Interim suspension Pattern and practice of failure to

cooperate, failure to re-register as

an attorney.

Engaging in pattern of impermis-

Jopseh A. Levy 31 AD3d 160	Disbarred (resigned)	Solicitation; failure to properly supervise law office; failure to maintain attorney registration.
Dominick J. Fontana 32 AD3d 70	Suspended 6 months	Failure to cooperate, failure to reregister as an attorney.
George R. Brown 32 AD3d 13	Disbarred	Reciprocal discipline – Arizona.
Solomon Kaplan 32 AD3d 33	Disbarred	Felony convictions – mail fraud (18 USC §1341); making false statements relating to health care matters (18 USC §1035[a]); health care fraud (18 USC §1347); witness tampering (18 USC §1512[b]); making false statements to FBI (18 USC §1001[a]); conspiracy (18 USC §371).
Tomas Greenberger 34 AD3d 78	Suspended 2 years	Conversion and failure to maintain required records for escrow account.
Alan M. Rocoff	Indefinite suspension	22 NYCRR 691.13(b)(1) (incapacitated from continuing to practice law).
Constant Jean-Baptiste 33 AD3d 191	Suspended 1 year	Commingling and use of escrow account to avoid creditors.
Mark L. Jacobs 34 AD3d 4	Disbarred	Conversion; neglect; misrepresenting to client amount of settlement proceeds; giving false testimony to Grievance Committee; serious professional misconduct negatively impacting clients.
Peter T. Sylver 33 AD3d 266	Suspended 2 years	Serious crime conviction – official misconduct (Penal Law §195.00).
David A. Feinerman 33 AD3d 212	Disbarred (resigned)	Engaging in pattern and practice of improperly deducting litigation expenses from clients' share of settlement proceeds.
Boris A. Krivonos	Reinstated	
Volf Z. Birman 34 AD3d 16	Disbarred	Engaging in illegal conduct (enterprise corruption conviction); filing inaccurate retainer and closing statements with OCA; mishandling funds entrusted to him as fiduciary; failure to main-

tain required records of escrow account; falsely notarizing clients' signatures on backdated affidavits; engaging in impermissible conflicts of interest.

Emmanuel O. Onuaguluchi 36 AD3d 4

Disbarred

Conversion; commingling; drawing IOLA check to cash; failure to maintain required bookkeeping records; engaging in conflicts of interest; using professional letterhead containing false, deceptive and/or misleading information.

tion.

Robert E. Ferguson Indefinite suspension

22 NYCRR 691.13(b)(1) (incapacitated from practice of law by rea-

son of mental infirmity).

Failure to cooperate.

Jack Martin Interim suspension

Joseph LaMattina Interim suspension

Allowing his name to be used in a criminal enterprise scheme to defraud homeowners and financial institutions; failure to supervise; sharing fees with non-

lawyer.

Warren R. Kraft 36 AD3d 272

Disbarred

Reciprocal discipline - New Jer-

sey.

Henry J. Uscinski 36 AD3d 308 Suspended 5 years

Serious crime conviction - attempt to evade or defeat tax (26

USC §7201).

Stephen E. Pearlman

36 AD3d 294

Disbarred (resigned)

Failure to safeguard client funds; conversion; commingling; misuse

of attorney trust account.

Iris V. Cepeda 38 AD3d 69 Suspended 6 months

Reciprocal discipline - Puerto

Rico.

Edward Moskowitz

37 AD3d 81

Suspended 3 years

Conversion.

Jennie M. Dellaria 38 AD3d 14 Disbarred (resigned)

Attorney trust account check dishonored due to insufficient

funds.

(Ninth Judicial District)

Solomon Abrams 32 AD3d 44	Disbarred	Judiciary Law §90(4) (serious crime conviction – criminal contempt [Judiciary Law §750]); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(7)(conduct adversely reflecting on fitness to practice); DR 7-102 (frivolous conduct); DR 9-102(B)(improper identification of account on escrow checks); DR 9-102(E)(unauthorized signatory on escrow account).
Jeffrey Allen Badner 29 AD3d 41	Disbarred (resigned)	DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(7)(conduct adversely reflecting on fitness to practice).
David B. Cohen	Interim suspension	22 NYCRR 601.4(1)(i), (ii) and (iii).
Joseph P. Connors 31 AD3d 200	Disbarred (resigned)	DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A) (7)(conduct adversely reflecting on fitness to practice); DR 9-102 (A)(misappropriation of funds in attorney escrow account).
George J. Cotz 28 AD3d 15	Suspended 6 months	22 NYCRR 601.3 (reciprocal disciplinary sanction).
Thomas J. Daly 32 AD3d 176	Disbarred	Judiciary Law §90(4)(felony convictions – interstate transmittal of stolen money [18 USC §2314]; false statement in tax return [26 USC §7206(1)]).
Gregory DeSousa 36 AD3d 121	Suspended 5 years	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A) (7)(conduct adversely reflecting on fitness to practice); DR 5-101(A)(impermissible conflict of interests).
Marc J. Domicello 29 AD3d 76	Disbarred (default)	DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(7)(conduct adversely reflecting on fitness to practice).

Scott B. Feiden Suspended 2 years DR 1-102(A)(5)(conduct prejudi-29 AD3d 115 cial to administration of justice); DR 1-102(A)(7)(conduct adversely reflecting on fitness to practice); DR 9-102(E)(cash withdrawals from escrow account). Gerald Goll Suspended 2 years with credit for DR 1-102(A)(5)(conduct prejudicial to administration of justice); 27 AD3d 131 interim suspension DR 1-102(A)(7)(conduct adversely reflecting on fitness to practice); DR 6-101(A)(3) (neglect); DR 7-106(A)(disregarding ruling of tribunal). Christopher M. Green Censured Criminal conviction – operation 32 AD3d 36 of motor vehicle while intoxicated (V&T §§1192[2], [3]); DR 1-102(A)(7)(conduct adversely reflecting on fitness to practice). Vivian L. Hausch Suspended 6 months DR 1-102(A)(4)(conduct involv-36 Ad3d 141 ing dishonesty, fraud, deceit or misrepresentation); DR 1-102(A) (5)(conduct prejudicial to administration of justice); DR 1-102 (A)(7)(conduct adversely reflecting on fitness to practice); DR 7-106(A)(disregarding ruling of tribunal). Disbarred Mary K. Henning Serious crime conviction - crimi-32 AD3d 161 nal contempt (Judiciary Law §750); DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(7)(conduct adversely reflecting on fitness to practice). Drew F. Horrell Suspended 2 years DR 1-102(A)(5)(conduct prejudi-33 AD3d 19 cial to administration of justice). Virginia R. Iaquinta-Snigur Suspended 3 years DR 1-102(A)(7)(conduct ad-30 AD3d 67 versely reflecting on fitness to practice); DR 1-104(C)(failure to adequately supervise nonattorney employee); DR 6-101(A) (3)(neglect); DR 9-102(A) (misappropriation of funds in attorney escrow account); DR 9-102(C)(1) (failure to notify interested party of receipt of funds); DR 9-102(C)

> (4)(failure to make prompt disbursement of funds from attorney escrow account due clients

or third parties).

viction – interstate transmittal/ transportation of stolen property

[18 USC §2314]).

Eric R. Kellerman Disbarred (resigned) DR 9-102(A)(conversion). 29 AD3d 6 Charles Rudd Mackenzie Disbarred Judiciary Law §90(4)(serious 32 AD3d 189 crime conviction - criminal contempt [Judiciary Law §750]); DR 1-102(A)(3)(illegal conduct adversely reflecting on honesty, trustworthiness or fitness); DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(5) (conduct prejudicial to administration of justice); DR 1-102(A) (7)(conduct adversely reflecting on fitness to practice). Maureen McNamara Reinstatement denied Disbarred (resigned) Joseph J. Milano DR 1-102(A)(5)(conduct prejudi-29 AD3d 146 cial to administration of justice); DR 9-102(A)(commingling and/or misappropriation of funds in attorney escrow account). Reinstatement denied with leave B. Marc Mogil to review Anthony J. Perna Disbarred Judiciary Law §90(4)(felony con-36 AD3d 86 viction – grand larceny, 4th degree [Penal Law §155.30]). Deborah Rubin Disbarred 22 NYCRR 691.3 (reciprocal dis-35 AD3d 29 cipline – Florida). Disbarred 22 NYCRR 601.3 (reciprocal dis-Glenn J. Rushin 37 AD3d 64 cipline – Texas). Leslie Sue Safian Interim suspension 22 NYCRR 601.4(1)(i)(failure to cooperate with investigation). Howard Shmaruk Disbarred Judiciary Law §90(4)(felony con-29 AD3d 138 viction - driving wile intoxicated [V&T §1192(2)]). Matthew A. Siegel Reinstatement denied **Howard Sinnott** Disbarred Judiciary Law §90(4)(felony con-

Todd S. Stall Disbarred (resigned) Criminal conviction – kickback

36 AD3d 129

31 AD3d 39

or unearned fee by splitting charges in real estate settlement

(18 USC §2607[b]).

N. Stephen Sukhdeo

Interim suspension

22 NYCRR 601.4(1)(ii), (iii) (admissions and uncontroverted evidence of misconduct).

John F. Tague Cynthia V. Tague 33 AD3d 233

Suspended 2 years

DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A) (5)(conduct prejudicial to administration of justice); DR 1-102(A)(7)(conduct adversely reflecting on fitness to practice); DR 2-106(A)(excessive fee); DR 9-102 (breach of fiduciary duty with respect to escrow funds); DR 9-102(A)(commingling and/or misappropriation of escrow funds); DR 9-102(B)(2) (failure to identify attorney escrow account properly; DR 9-102 (E)(issuance of escrow checks

payable to cash).

(Tenth Judicial District)

Efren B. Abella

Indefinite suspension

Sonya Moody Barksdale

27 AD3d 77

Disbarred (resigned)

Judiciary Law §90(4)(criminal conviction – criminal facilitation, 4th degree [Penal Law §115.00]).

Cornell Cornish

Reinstated

Timothy J. Daly

Reinstated

Xavier Fazio 35 AD3d 33

Disbarred

Judiciary Law §90(4)(felony conviction – conspiracy to commit wire fraud [18 USC §371], wire fraud [18 USC §1343]), money laundering [18 USC §1956]).

Kevin R. Gorry 34 AD3d 25

Suspended 2 years

Judiciary Law §90(4)(serious crime conviction - criminal facilitation 4th degree [Penal Law §115.00]; DR 1-102(A)(7)(conduct adversely reflecting on fitness to

practice).

Sheldon E. Green 36 AD3d 12

Censured

DR 5-101(A)(conflict of interest); DR 5-102(A)(accepting employ-

ment when he knew or it was obvious that he ought to be called as witness on client's behalf); DR 5-102(B)(accepting employment when he knew or it was obvious that he ought to be called as witness other than on client's behalf and it was apparent that testimony would or might be prejudicial to client); DR 1-102(A)(7)(conduct adversely reflecting on fitness to practice); DR 1-102(A)(5)(conduct prejudicial to administration of justice).

Joseph R. Guardino

Reinstated

John R. Haberman 27 AD3d 66

Censured

DR 6-101(A)(3)(neglect); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(4)(false statements to client regarding status of legal matter).

Steven P. Herman 32 AD3d 84

Disbarred

22 NYCRR 691.3 (reciprocal dis-

cipline - Maryland).

Michael H. Hirsch 31 AD3d 169

Disbarred

Judiciary Law §90(4)(felony conviction – grand larceny 3rd degree

[Penal Law §155.35]).

Margaret A. Hurst

Indefinite suspension

Jan B. Kabas 30 AD3d 34

Disbarred

Judiciary Law §90(4)(felony conviction - attempted disseminating indecent materials to minors, 1st degree [Penal Law §§110.00,

235.22]).

Avi Kasten

Reinstatement denied Interim suspension

Edward Katz 31 AD3d 125

Benjamin Katz

Suspended 2 years

Judiciary Law §90(4)(serious

crime conviction - criminal facilitation 4th degree [Penal Law

§115.00]).

Michael I. Kroll 33 AD3d 270

Censured

DR 2-106(B)(excessive fee); DR 1-102(A)(5)(conduct prejudicial to

administration of justice).

Harris Litwak 30 AD3d 95

Censured

DR 1-102(A)(7)(conduct adversely reflecting on fitness as a lawyer); DR 9-102(C)(1)(failure to

notify client of receipt of funds); DR 9-102(C)(3)(failure to account for funds); DR 9-102(C)(4)(failure to pay or deliver funds; DR 9-102 (A)(commingling); DR 1-102(A) (4)(dishonesty, fraud, deceit on misrepresentation); DR 9-102(D) (2), (9)(failure to maintain records, make accurate entries).

Salvatore Lombardo

28 AD3d 85

Disbarred

Judiciary Law §90(4)(felony con-

viction – grand larceny 3rd degree

[Penal Law §155.35]).

Patrick J. Mahoney

Interim suspension

James F. Misiano 33 AD3d 254 Censured

Judiciary Law §90(4)(serious crime conviction – materially false statements to U.S. Department of Housing and Urban Development [18 USC §§1012, 3551 et seq.); DR 1-102(A)(7)(conduct adversely reflecting on fitness to practice).

Robert Monahan

Interim suspension

Steven Pasternack

Interim suspension

Gari W. Powder 36 AD3d 283 Disbarred

Judiciary Law §90(4)(felony con-

viction – bank fraud [18 USC

§1344]).

Jerold Probst 36 AD3d 216 Censured

DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(7)(conduct adversely reflecting on fitness to

practice).

Richard J. Sanna

Reinstatement denied

Robert A. Scher

Reinstated

Robert A. Shuster 33 AD3d 257

Suspended 5 years

(failure to fulfill fiduciary duty and preserve escrow funds); DR 9-102(A)(misappropriation, conversion); DR 5-104(A)(2), (3) (improperly entering business transaction with client); DR 9-102(B) (failure to promptly deposit cli-

DR 9-102(A), DR 1-102(A)(7)

ent funds in special account); DR 9-102(D)(1), (2)(failure to main-

tain records).

Amos Weinberg

Reinstated

Michael Weinreb 31 AD3d 108

Disbarred

Judiciary Law §90(4)(felony conviction - offering false instrument for filing 1st degree [Penal

Law §175.35]).

Alan Zigman 29 AD3d 65

Suspended 1 year

Judiciary Law §90(4)(serious crime conviction - unauthorized secondary financing [18 USC §1014]).

THIRD DEPARTMENT

Mahmoud Alsafty 29 AD3d 1214

Reinstated

Adigun S. Bakare 35 AD3d 1084

Disbarred

22 NYCRR 806.19 (reciprocal discipline – Maryland).

Janice M. Bishop 25 AD3d 911

Reinstated

J. Michael Boxley 27 AD3d 1006

Reinstated

Stephen C. Chukumba 29 AD3d 1213

Disbarred

22 NYCRR 806.19 (reciprocal dis-

cipline - New Jersey).

Catherine E. Cronin 27 AD3d 829

Reinstated upon conditions

Reinstated upon condition that for two years, respondent shall submit to petitioner semiannual reports from her treating mental health professional evaluating her continuing capacity to practice law, with the first report due six months from date of decision. Further, respondent shall submit to petitioner, within 30 days, a plan for reimbursement of cli-

ents.

Philip J. Devine 34 AD3d 1178

Censured

DR 1-102(A)(5), SCPA 2311 (distributing advance commissions to executors of estate without court approval); DR 1-102(A)(4), (5), (7), DR 7-102(A)(3)(filing petition with Surrogate's Court which failed to disclose advance executors' commissions); DR 5-101 (conflict of interest by loaning \$14,500 in estate funds to individual with whom respondent had ongoing business relationship without full disclosure

to/consent of executors); DR 1-
102(A)(5), (7), DR 9-102(A), (E)
(conversion by making transfer
from estate account to personal
account for loan without explicit
authority).

Howard S. Diamond 25 AD3d 1011

Suspended 1 year

22 NYCRR 806.19 (reciprocal discipline – New Jersey). Application for reinstatement must make showing required by 22 NYCRR 806.12(b) and include proof of reinstatement in New Jersey. Respondent shall submit psychological opinion that he is capable of practicing law and shall present evidence of proper disposition of escrow funds.

Richard E. Doling 32 AD3d 658

Stayed suspension terminated

Paul F. Donohue, Jr. 29 AD3d 1212

Reinstated upon condition

Reinstated upon condition that for two years, respondent shall submit to petitioner semiannual reports from treating mental health provider assessing continuing capacity to practice law, with first report due six months from date of decision.

Gary L. Edelson 824 NYS2d 571 Disbarred

22 NYCRR 806.19 (reciprocal discipline – New Jersey).

Joan M. Gaughan Atlas 32 AD3d 1049

Disbarred

22 NYCRR 806.19 (reciprocal discipline – Pennsylvania).

Vijay M. Gokhale 33 AD3d 1038 Suspended 1 year, stayed

22 NYCRR 806.19 (reciprocal discipline – New Jersey). Stayed on condition that respondent submit proof to petitioner, within 90 days of date of decision, that he has accounted for and properly disbursed client funds as required as a condition of reinstatement in New Jersey, and that he not be subject of professional discipline in New York during period of stayed suspension.

Justin D. Herzog 27 AD3d 947 Suspended 2 years, stayed

DR 1-102(A)(4), (5), (7), DR 9-102 (A)(conversion); DR 1-102(A)(4), (5), (7), DR 9-102 (issuing check against insufficient funds); DR 6-101(A)(3)(neglect); DR 1-102(A)

(5)(failure to communicate with clients); DR 2-110(B)(3), (C)(4) (failure to withdraw from employment when mental condition rendered it unreasonably difficult for him to carry out employment effectively); DR 9-102(C)(4) (failure to promptly remit client funds); DR 1-102(A)(5), (7) (failure to cooperate with petitioner in investigation). Suspension stayed on condition that respondent provide petitioner with quarterly reports from CPA confirming he is maintaining escrow account and preserving client funds in accordance with disciplinary rules. Further, petitioner to file quarterly reports with petitioner from mental health provider confirming that he is completing his mental health program and continues to have capacity to practice law.

Justin D. Herzog 33 AD3d 1116 Suspended 2 years

Motion to vacate stayed suspension granted. Respondent filed two reports from medical providers but did not continue to file reports or supply required documentation. Respondent arrested for violation of conditional discharge and court orders in Franklin County.

Arthur M. Kahn 33 AD3d Suspension pending consideration of disciplinary charges

22 NYCRR 806.4(f)(professional misconduct immediately threatening public interest).

David L. Kervick 27 AD3d 948

Suspended 3 months

22 NYCRR 806.19 (reciprocal discipline – New Jersey).

Robert T. Knott, Jr. 25 AD3d 1010

Disbarred

22 NYCRR 806.19 (reciprocal discipline – Nevada).

Catherine A. Lindsey 32 AD3d 1077

Reinstated

Judiciary Law §90(6-a).

Gary Lucas 32 AD3d 1150

Gary Lucas

Restitution order

Disbarred

DR 1-102(A)(5), (7) (violation of Court's suspension order, failure to cooperate with petitioner in formulation of restitution order, failure to comply with Court

rules); DR 1-102(A)(4), (5), (7), DR 9-102(A)(conversion); DR 6-101(A)(3)(neglect); DR 1-102(A) (5), (7)(failure to cooperate with petitioner in investigation); DR 2-106(C)(2)(b), (F), 22 NYCRR part 1400 (failure to provide client with statement of client's rights and responsibilities and written retainer); 22 NYCRR parts 137 and 1400 (failure to provide client with fee dispute information in domestic relations matter).

Brian C. Madigan 32 AD3d 1050 Disbarred

Judiciary Law §90(4)(a), (b) (felony conviction – aggravated unlicensed operation of motor vehicle, 1st degree ([V&T §511 (3)(a)(i)]).

Allen C. Marra 33 AD3d 1117 Suspended 3 years

22 NYCRR 806.19 (reciprocal discipline – New Jersey).

Naomi Mbys-Davidson 34 AD3d 918 Reinstated

Edward F. Mitchell 32 AD3d 1105

Indefinite suspension

22 NYCRR 806.19 (reciprocal discipline – New Jersey).

John F. Murphy 30 AD3d 819

Suspended 5 years

22 NYCRR 806.19 (reciprocal discipline – Pennsylvania).

Perry S. Reich 32 AD3d 1106

Disbarred

Judiciary Law §90(4)(g) (convictions – obstruction of justice [18 USC §1512(c)(2)]; making false statements to federal law enforcement officials [18 USC §1001(a)(2)]; forging federal judge's signature [18 USC §505]).

Jack J. Sissman 32 AD3d 1078 Indefinite suspension

22 NYCRR 806.10(a)(incapacity).

Jack J. Sissman 34 AD3d 978

Suspended 1 year

DR 6-101(A)(3)(neglect); DR 1-102(A)(5)(failure to communicate with client); DR 2-110(B)(3), (C) (4) (failure to withdraw from employment when physical or mental condition made it unreasonably difficult to carry out employment); DR 1-102(A)(5) (failure to cooperate with petitioner). Upon any application for reinstatement, respondent shall make showing required by 22 NYCRR 806.12(b), including submission

of medical opinion that he has physical and mental capacity to resume practice of law.

William P. Sullivan, Jr.

35 AD3d 955

Suspended 6 months

Judiciary Law §90(4)(g) (conviction of willful failure to pay income tax [26 USC §7203]).

James N. Talbott 31 AD3d 961

Reinstated

Philip M. VanAelstyn

28 AD3d 1001

Suspended 1 year

DR 1-102(A)(3), (4), (5), (7) (filing affidavit in a Vermont Family Court proceeding which contained false and misleading statement); Vermont conviction of extortion (13 VSA §1701) and stalking (13 VSA §1062).

Philip E. VanRiper 25 AD3d 1065

Disbarred

Judiciary Law §90(4)(g) (serious crime conviction – knowingly filing false tax return [26 USC §7206(1)]).

Dina Warner 25 AD3d 1010 Reinstated

Nancy M. Weissman 32 AD3d 1150

Censured

22 NYCRR 806.19 (reciprocal dis-

cipline - Massachusetts).

William S. Wolfson 26 AD3d 638

Reinstated

Allan Y. Wong 34 AD3d 918

Reinstatement denied

FOURTH DEPARTMENT

(Fifth Judicial District)

David P. Antonucci 34 AD3d 133

Censured

DR 1-102(A)(5), (7)(conduct prejudicial to administration of justice, conduct adversely reflecting on fitness); DR 7-102(A)(1), (2) (filing suit or asserting position on behalf of client when he knows or it is obvious that such action would serve merely to harass or maliciously injure another; knowingly advancing claim that is unwarranted under existing law and cannot be supported by good faith argument

for extension, modification or reversal of existing law).

David B. Grossman 36 AD3d 170 Disbarred

Judiciary Law §90(4)(conviction of federal felony that would constitute a felony in New York – money laundering 4th degree [Penal Law §470.05], fraudulent practice with respect to stocks [General Business Law §352-c]).

M. Helene Hamlin 34 AD3d 1365 34 AD3d 56 Resigned

22 NYCRR 26(a); DR 1-102(A)(5), (7)(conduct prejudicial to administration of justice, conduct adversely reflecting on fitness); DR 2-106(A)(excessive fee).

David M. Stanton 28 AD3d 20

Censured

DR 1-102(A)(7)(conduct adversely reflecting on fitness); DR 2-106(A)(excessive fee); DR 6-101 (A)(3)(neglect); DR 9-102(A) (commingling); DR 9-102(C)(3) (failure to maintain records and render accounts); DR 9-102(D)(9) (failure to make accurate, contemporaneous entries of all figure 11 transportings)

nancial transactions).

(Seventh Judicial District)

David Isaac Berlowitz 26 AD3d 900 Reinstated

Michael John Colitz, Jr. 35 AD3d 1293

Reinstatement denied

Willie R. Felton 32 AD3d 1243

Reinstatement denied

Douglas Almon Fisher 29 AD3d 71

Censured

Judiciary Law §497, DR 1-102(A)(7).

Byron W. Gross 34 AD3d 23

Censured

DR 1-102(A)(3)(illegal conduct adversely reflecting on honesty, trustworthiness or fitness as a lawyer); DR 1-102(A)(4)(conduct prejudicial to administration of justice); Judiciary Law §90(4)(c).

Wayne I. Ohl 31 AD3d 122 Censured

DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 2-106(C) (2)(ii)(failure to have written retainer agreement in domestic

relations matter); DR 2-106(C)(3)(fee proscribed by law or court rule); DR 2-110(A)(3) (withdrawing from employment without refunding unearned fee); DR 6-101(A)(3)(neglect); DR 9-102(D) (failure to maintain required records of bank accounts); DR 9-102(I), (J)(failure to make financial records available to griev-

ance committee).

John S. Pomeroy 28 AD3d 77

Suspended 1 year

22 NYCRR 1022.22

Edward S. Reich 26 AD3d 900

Resigned

22 NYCRR 1022.26(a).

Stephen D. Rogoff 31 AD3d 111

Censured

DR 1-102(A)(7)(conduct adversely reflecting on fitness); DR 5-105(A)(conflict of interest - differing interests of clients); DR 5-105(B)(conflict of interests - mul-

tiple employment).

Melvin G. Shapiro 28 AD3d 29

Censured

DR 1-102(A)(7)(conduct adversely reflecting on fitness); DR 2-106(C)(2)(ii) (failure to have written retainer agreement in domestic relations matter); DR 2-106(C)(3)(fee proscribed by law or court rule); DR 6-101(A)(3)

(neglect).

Robert J. St. George 35 AD3d 1293

Suspended

22 NYCRR 1022.23(b).

John G. Zankowski 31 AD3d 1225

Interim suspension

Failure to file answer to petition or appear before court on return

date.

(Eighth Judicial District)

Lawrence J. Fineberg 28 AD3d 48

Censured

DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(7)(conduct adversely reflecting on fitness as a

lawyer).

Merlyn E. Bissell 27 AD3d 1200

Reinstated

Charles W. Termini 27 AD3d 1199

Interim suspension

J. Eldon Owens Reinstated 27 AD3d 1200 Michael C. Trimboli Reinstated 27 AD3d 1199 J. Daniel Lenahan Resigned 22 NYCRR 1022.26(a). 28 AD3d 1256 Charles W. Termini Disbarred Defaulted on petition. 28 AD3d 1256 Interim suspension Robert N. Bakalik 28 AD3d 1256 Thomas J. Fendick Censured DR 1-102(A)(7)(conduct adversely reflecting on fitness as a 31 AD3d 17 lawyer); DR 5-101A()(conflict of interest – lawyer's interests); DR 5-104(A)(improper business transaction with client); DR 5-105(A)(conflict of interest - failure to decline multiple employment); DR 5-105(B)(conflict of interest – continuing multiple employment). Robert N. Bakalik Disbarred Defaulted on petition. 30 AD3d 1114 Lisa L. Mitchell Suspended 1 year DR 1-102(A)(4)(conduct involv-31 AD3d 55 ing dishonesty, fraud, deceit or misrepresentation); DR 1-102(A) (5)(conduct prejudicial to administration of justice); DR 1-102 (A)(7)(conduct adversely reflecting on fitness); DR 9-102(A) (misappropriation and commingling); DR 9-102(B)(1) (failure to maintain client funds in special account); DR 9-102(B) (2)(failure to properly identify special accounts); DR 9-102(D) (failure to maintain required records of bank accounts); DR 9-102(I), (J) (failure to make financial records available to grievance committee). DR 1-102(A)(3)(illegal conduct Timothy G. Bax Suspended 2 years

32 AD3d 88

adversely reflecting on honesty, trustworthiness or fitness); DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(5) (conduct prejudicial to admini-

stration of justice); DR 1-102(A) (7)(conduct adversely reflecting on fitness); DR 6-101(A)(3) (neglect); DR 7-102(A)(2) (intentionally failing to carry our contract of employment); DR 9-102(A) (misappropriation); DR 9-102(D) (failure to maintain required records of bank accounts); DR 9-102(I), (J)(failure to make financial records available to grievance committee); Judiciary Law §468-a, 22 NYCRR 118.1 (failure to comply with attorney registration requirements).

Alan M. St. Clair 32 AD3d 170 Suspended 3 years

DR 1-102(A)(3)(illegal conduct adversely reflecting on honesty, trustworthiness or fitness); DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(5) (conduct prejudicial to administration of justice); DR 1-102(A) (7)(conduct adversely reflecting on fitness).

Curtis W. Lee 32 AD3d 74 Censured

DR 1-102(A)(3)(illegal conduct adversely reflecting on honesty, trustworthiness or fitness); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(7)(conduct adversely reflecting on fitness); DR 4-101(B) (1)(knowingly revealing client confidence or secret); DR 4-101 (B)(2)(knowingly using client confidence or secret to disadvantage of client); DR 7-106(A0 (disregarding ruling of tribunal).

Danielle Lenahan 34 AD3d 13 Suspended 2 years

DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A) (7)(conduct adversely reflecting on fitness); DR 1-104(D)(1) (ratifying conduct of non-lawyer that would be violation of disciplinary rules if engaged in by a lawyer); DR 1-104(D)(2)(failure to take remedial action at time when consequences of misconduct by non-lawyer could have been avoided or mitigated); DR 2-101(K), 2-101(A)(failure to include office address on firm let-

terhead); DR 2-102(B)(practicing under trade name); DR 9-102(E) (allowing non-lawyers to be signatories on special account).

Patricia E. Swartz 32 AD3d 1242 Interim suspension

Mackson P. McDowall 32 AD3d 1243

Reinstatement denied

Mackson P. McDowall 32 AD3d 1242

Disbarred

Defaulted on petition.

Garry King 36 AD3d 173 Suspended 6 months

DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A) (5)(conduct prejudicial to administration of justice); DR 1-102 (A)(7)(conduct adversely reflecting on fitness); DR 2-101(K), DR 2-102(A)(failure to include office address on letterhead and in advertisements); DR 2-106(C)(2)(ii) (failure to have written retainer agreement in domestic relations matter); DR 6-101(A)(3)(neglect); DR 7-101(A)(2)(intentionally failing to carry out contract of employment); DR 9-102(A) (misappropriation and commingling); DR 9-102(B)(1)(failure to maintain client funds in special account); DR 9-102(C)(4)(failure to promptly pay funds to client).

Patricia E. Swartz 34 AD3d 1369 Disbarred

Defaulted on petition.

Jon E. Purizhansky 35 AD3d 1293 Interim suspension

Jeffrey A. Lazroe 38 AD3d 17 Suspended 6 months

DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(7)(conduct adversely reflecting on fitness); DR 2-110(A)(2)(withdrawing from employment without taking steps to avoid prejudice to client); DR 2-110(B)(2)(failure to withdraw when he knows or it is obvious that continued employment will result in violation of disciplinary rule); DR 5-105(A) (failure to decline employment – differing interests); DR 5-105(B)

(continuing multiple employment – differing interests); DR 5-108(A)(conflict of interests - former client).

Professional Disciplinary Staffs and Departmental and District Grievance Committees

Professional Disciplinary Staffs

FIRST DEPARTMENT

Departmental Disciplinary Committee

First Judicial Department 61 Broadway, 2nd Floor New York, NY 10006 (212) 401-0800

Thomas J. Cahill, Chief Counsel Sherry K. Cohen, First Deputy Chief Counsel Andral N. Bratton, Deputy Chief Counsel Christine C. Anderson, Staff Counsel Angela Christmas, Staff Counsel Nicole Corrado, Staff Counsel Kevin Culley, Staff Counsel

Vincent C. Raniere, Chief Investigator George Cebisch, Investigator Virgil Cruz, Investigator John E. Puglise, Investigator Martin Schwinger, Investigator Michael Vega, Investigator Leonard Zarrillo, Investigator Rebeca V. Taub, Chief Paralegal Donnna Killian, Paralegal Robin Green, Paralegal Joel A. Peterson, Paralegal Marcy Sterling, Paralegal Carol Scheuer, Office Manager Anna Abbate, Secretary Jorge Dopico, Staff Counsel
Mady J. Edelstein, Staff Counsel
Jeremy S. Garber, Staff Counsel
Naomi F. Goldstein, Staff Counsel
Joseph J. Hester, Staff Counsel
Roberta N. Kolar, Staff Counsel
Jun H. Lee, Staff Counsel
Vitaly Lipkansky, Staff Counsel
Stephen P. McGoldrick, Staff Counsel
Kevin E. F. O'Sullivan, Staff Counsel
Orlando Reyes, Staff Counsel
James T. Shed, Staff Counsel
Eileen J. Shields, Staff Counsel
Judith N. Stein, Staff Counsel
Raymond Vallejo, Staff Counsel

Francine N. L. Ali, Secretary
Nancy K. De Leon, Secretary/Computer
Eartha Hobot, Administrative Secretary
Monique Hudson, Secretary
Tennille Millhouse, Secretary
Celina Nelson, Secretary
Hermaine Otto, Secretary
Gloria Rodriquez, Secretary
Maria L. Vera, Secretary
Michelle Y. Yang, Computer Personnel
Charles A. Sauer, Computer Personnel

SECOND DEPARTMENT

Grievance Comm. for the 9th *Judicial District* 399 *Knollwood Road, Suite* 200 *White Plains, NY* 10603 (914)949-4540

Gary L. Casella, Chief Attorney Faith Lorenzo, Deputy Counsel Gloria J. Anderson, Staff Counsel Antonia Cipollone, Staff Counsel Fredda Fixler-Fuchs, Staff Counsel Matthew Renert, Staff Counsel Eddie Still, Staff Counsel Forrest Strauss, Staff Counsel Anthony Wynne, Staff Counsel
Patrick Smith, Principal Court Analyst
Virginia E. Mordiglia, Managing Secretary
Maryellen Horan, Secretary
Patricia Marucci, Secretary
Ellen M. Tallevi, Secretary
Claire Zeppieri, Secretary
Denise Santamorena, Secretary
Maria J. Mangione, Secretary
Rita Passidomo, Secretary (part-time)
Anita Bellenchia, Secretary (part-time)

Grievance Comm. for the 2nd & 11th *Judicial Districts*

335 Adams Street, Suite 2400 Brooklyn, NY 11201 (718) 923-6300

Diana Maxfield Kearse, Chief Counsel Robert J. Saltzman, Deputy Counsel Mark F. DeWan, Assistant Counsel Diana J. Szochet, Assistant Counsel Melissa D. Broder, Assistant Counsel Susan Korenberg, Assistant Counsel Colette M. Landers, Assistant Counsel

Grievance Comm. for the 10th Judicial District 150 Motor Parkway, Suite 102 Hauppauge, NY 11788 631/231-3775

Rita E. Adler, Chief Counsel
Mitchell T. Borkowsky, Deputy Chief Counsel
Robert A. Green, Assistant Counsel
Nancy B. Gabriel, Assistant Counsel
Dianne M. Saccone, Assistant Counsel
Elizabeth A. Grabowski, Assistant Counsel
Stacey J. Sharpelletti, Assistant Counsel
Michael J. Kearse, Assistant Counsel

Sharon Gursen Ades, Assistant Counsel Myron C. Martynetz, Assistant Counsel Susan B. Master, Assistant Counsel Lisa Monteleone, Accountant Erika Edinger, Paralegal Louis Romaine, Investigator

Leslie B. Anderson, Assistant Counsel
Michael Fuchs, Assistant Counsel
Michele Martino, Assistant Counsel
Christopher Kern, Principal Court Analyst
Douglas K. Kronenberg, Senior Court Analyst
Kerry E. Lopez, Court Analyst
Joan Pace, Assistant Court Analyst
Barbara Maniscalco, Law Stenographer
Noreen Johnston, Law Stenographer
Phyllis Carusillo, Law Stenographer
Mera Maharaj-Panan, Law Stenographer
Elizabeth Secondino, Secretary

THIRD DEPARTMENT

Committee on Professional Standards 40 Steuben Street, Suite 502 Albany, NY 12207-2109 (518) 474-8816

Mark Ochs, Chief Attorney
Michael Philip Jr., Deputy Chief Attorney
Geoffrey E. Major, Staff Attorney
Michael K. Creaser, Staff Attorney
Michael G. Gaynor, Staff Attorney
Steven D. Zayas, Staff Attorney
Joseph L. Legnard, Investigator
Vincent M. Tepedino, Investigator

FOURTH DEPARTMENT

Grievance Comm. for the 5th Judicial District

224 Harrison Street, Suite 408 Syracuse, NY 13202 (315) 471-1835

David L. Edmunds, Jr., Chief Counsel (through 5/31/06) Anthony J. Gigliotti, Principal Counsel (Acting Chief Counsel 6/1/06-12/31/06) Sheryl M. Crankshaw, Investigator M. Susan Roland, Assistant Court Analyst Robin L. Irvine, Secretary

Grievance Comm. for the 7th Judicial District

50 East Avenue, Suite 404 Rochester, NY 14604 (585) 530-3180

David L. Edmunds, Jr., Chief Counsel (through 5/31/06)
Daniel A. Drake, Principal Counsel (Acting Chief Counsel 6/1/06-12/31/06)
Andrea E. Tomaino, Associate Counsel
Janet A. Montante, Investigator
Karen C. Malbone, Secretary
Cheryl L. Thompson, Secretary

Grievance Comm. for the 8th Judicial District

438 Main Street, Suite 800 Buffalo, NY 14202 (716) 845-3630

David L. Edmunds, Jr., Chief Counsel (through 5/31/06)

Vincent L. Scarsella, Dep. Chief Counsel (Acting Chief Counsel 6/1/06-12/31/06

Roderick Quebral, Principal Counsel

Margaret C. Callanan, Principal Counsel

Guy C. Giancarlo, Associate Counsel

Susan M. Eagan, Associate Counsel

William P. Zenosky, Investigator

Mary E. Davis, Investigator

Carolyn M. Stachura, Investigator

Craig E. Semple, Investigator

Anna M. Hunt, Court Analyst

Kathleen E. Caprio, Asst. Court Analyst

Carol A. Cherry, Asst. Court Analyst

Kathleen J. Kreigbaum, Secretary

Misty A. White, Secretary

Departmental and District Grievance Committees

FIRST DEPARTMENT

Departmental Disciplinary Committee

Paul J. Curran, Chair Dominic F. Amorosa Eugene F. Bannigan Patrick H. Barth Dr. Jane Eisner Bram* Douglas W. Brandrup Christopher E. Chang Ann J. Charters* Lisa D. Correll* Denis F. Cronin Cheryl Davis Jean E. Davis* Paul F. Doyle

Haliburton Fales 2d, Sp. Counsel

Patricia Farren Rosalind S. Fink

Charlotte Moses Fischman, Sp. Counsel

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William Francis Kuntz, II, Sp. Counsel

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Timothy G. Reynolds Martin S. Rothman Reuben Samuel Samuel W. Seymour

John Siffert Marian E. Silber Eugene P. Souther Christine Collins Tomas*

John L. Warden Eric I. Warner

Stephen L. Weiner, Sp. Counsel

Susan Welsher*

^{*}Non-lawyer Member

SECOND DEPARTMENT

Grievance Committee for the 2nd & 11th Judicial Districts

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Joseph J. Risi
Manuel A. Romero
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James P. Slattery
Howard D. Stave
Dominic a. Villoni
Guy R. Vitacco, Jr.
Andrew Walsh*
Rebecca Woodland

Grievance Committee for the 9th Judicial District

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Frederick C. Johs
John P. Lektstutis*
Rev. Nicholas J Magoulias*
Christopher T. McGrath
Alan J. Reardon
Thomas Schuette, D.C.*
Kenneth J. Weinstein
Arlene Zalayet
John B. Zollo

THIRD DEPARTMENT

Committee on Professional Standards

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Leonard A. Govern*

Timothy J. Horigan Alfred B. Mainetti Peter W. Mitchell Peter E. Platt* Edgar G. Purcell Isabelle Rawich John J. Ryan, Jr. John A. Stevens Joan P. Tailleur Richard D. Wickerham

FOURTH DEPARTMENT

Grievance Committee for the 5th Judicial District

James E. Sparkes, Chair Robert W. Applegate Patricia S. Bobrow Don Cambareri William A. Chambers* Floyd J. Chandler John D. Conners Christopher J. Harris Peter M. Hobaica Richard K. Hughes

Camille T. Kahler
John G. McGowan
Edward Z. Menkin
Edgar S. K. Merrell, 3rd
Daniel J. Murphy*
Garry L. Outtrim
Roy D. Pinsky
Lisa A. Proven
Thomas A. Reynolds
William P. Schmitt

Grievance Committee for the 7th Judicial District

Charles P. Inclima, Chair G. James Blatt, Jr.* Bernetta A. Bourcy Garry Brower* V. Bruce Chambers David A. Contiguglia John N. Dagon Matthew M. DiDuro* Frank R. Fisher Robert B. Gitlin Michael T. Harren William H. Helferich, III LaMarr J. Jackson Charles A. Marangola Hillary Merkel McMillan Lucian A. Morin, II Martha A. Roberts Eric Shults John W. Vogel Jeffrey Wicks Robert W. Zimmerman

Grievance Committee for the 8th Judicial District

John V. Elmore, Chair John M. Aversa Leonard Berkowitz Lawrence W. Boylan Thomas R. Cassano Victoria L. D'Angelo Adrian Dedecker, III* John J. DelMonte Sue M. Evans Beth E. Farwell Raymond L. Fink Amy Archer Flaherty Wesley L. Hicks, Jr.* Norma I. Higgs* Joseph L. Leone, Jr. Mark Lillenstein Andrew D. Merrick Michael M. Mohun Timothy M. O'Mara Dale C. Robbins Bruce R. Schmidt Appendix:
Attorney Discipline Budget
Appropriations
(Fiscal 2006) and
Salaries of Disciplinary Staff
(February 2006)

FIRST DEPARTMENT Title	Grade	2/06 Salary	SECOND DEPARTMENT Title Gra	ade 2/06 Salary
Chief Attorney	NS	\$126,764	(Second and Eleventh Judi	cial Districts)
Deputy Chief Attorney	532	119,283		
Principal Attorney	531	96,792	3	NS \$123,224
Principal Attorney	531	120,990	1	532 123,103
Principal Attorney	531	117,284	1	531 91,342
Principal Attorney	531	113,578	1	531 117,284
Principal Attorney	531	106,582	1	531 90,862
Principal Attorney	531	106,166	1	531 117,284
Principal Attorney	531	117,284	1	531 113,578
Principal Attorney	531	95,461	y	521 69,043
Principal Attorney	531	101,448	3	521 69,043
Principal Attorney	531	120,990	3	518 59,370 510 42,000
Principal Attorney	531	117,284	,	518 43,802
Principal Attorney	531	102,460	,	516 48,973 51 ,200
Principal Attorney	531	113,578	,	516 51,286
Principal Attorney	531	113,578	3	514 47,942
Principal Attorney	531	120,990	3	514 34,999
Principal Attorney	531	73,268	,	514 42,418
Principal App. Ct. Atty	531	108,224	Jr. Court Analyst	512 31,179
Principal App. Ct. Atty	531	49,377	2006 2007 4	
Associate Attorney	528	83,281	2006-2007 Appropriations:	φ1 F20 F/2
Associate Attorney	528	98,060	Personal Service	\$1,529,762
Associate LAN Admin.	525	84,517	Non-personal Service	<u> 150,717</u>
Principal Court Analyst		79,117	T-1-1	¢1 (00 47 0
Principal Court Analyst		79,117	Total:	\$1,680,479
Senior Court Analyst	521	71,578		
Senior Court Analyst	521 521	69,043		
Sr. App. Court Asst.	521 518	63,973	(Nim the Iredicial Diatrical)	
Court Analyst	518	59,370	(Ninth Judicial District)	
Court Analyst	518	63,818	Chief Attorney	NIC \$125.741
Court Analyst	518	61,594		NS \$125,741 532 119,283
Court Analyst	518 518	48,250 57,146	1	532 119,283 531 113,578
Court Analyst	518	57,146		531 120,990
Court Analyst	518 518	59,370 50,370		531 120,990
Court Analyst	518 517	59,370 56,354	1	531 100,931 531 113,578
Admin. Secretary	517	56,254 53,207	1	531 107,049
Asst. Court Analyst Asst. Court Analyst	516	53,307 53,307	1	531 102,460
Asst. Court Analyst Asst. Court Analyst	516	53,307 47,244		526 84,677
2	516	34,561	,	521 76,359
Asst. Court Analyst	514	46,093	1	516 43,198
Law Stenographer Law Stenographer	514	49,791	2	516 57,349
Law Stenographer Law Stenographer	514	28,765	3	514 51,640
Secretary	514	47,942	,	514 49,791
-	514	44,244	,	514 51,640
Secretary Secretary	514	44,244	,	514 36,648
Prin. App. Office Asst.	513	45,433	Secretary	314 30,040
Till. App. Office Asst.	313	43,433	2006-2007 Appropriations:	
2006-2007 Appropriatio	ns.		Personal Service	\$1,349,681
Personal Service	113.	\$3,682,207	Non-personal Service	395,531
Non-personal Service		304,332	rion personal bervice	<u></u>
TYOTI PETSOTIAL DELVICE		001,002	Total:	\$1,745,212
Total:		\$3,986,639	20000	Ψ1, 10,212

SECOND DEPARTMENT

FOURTH DEPARTMENT

Title	Grade	2/06 Salary	Title	Grade	2/06 Salary
(Tenth Judicial Distri	ct)				
Chief Attorney	NS	\$122,855	Chief Attorney	NS	\$126,555
Dep. Chief Attorney	532	118,626	Deputy Chief Attorney	532	123,103
Dep. Chief Attorney	532	110,100	Principal Attorney	531	117,284
Dep. Chief Attorney	532	102,688	Principal Attorney	531	120,990
Principal Attorney	531	102,460	Principal Attorney	531	113,578
Principal Attorney	531	79,942	Principal Attorney	531	113,578
Principal Attorney	531	102,460	Principal Attorney	531	105,472
Principal Attorney	531	117,284	Associate Attorney	528	44,024
Principal Attorney	531	87,636	Associate Attorney	528	94,723
Principal Attorney	531	51,622	Sr. Court Analyst	521	71,578
Principal Attorney	531	113,578	Sr. Court Analyst	521	65,063
Principal Attorney	531	95,048	Sr. Court Analyst	521	71,578
Princ. Court Analyst	523	79,117	Sr. Court Analyst	521	46,026
Sr. Court Analyst	521	66,508	Court Analyst	518	49,874
Court Analyst	518	59,370	Court Analyst	518	59,370
Asst. Court Analyst	516	55,328	Asst. Court Analyst	516	43,202
Law Stenographer	514	40,569	Asst. Court Analyst	516	53,307
Law Stenographer	514	47,942	Asst. Court Analyst	516	55,328
Law Stenographer	514	51,640	Asst. Court Analyst	516	44,611
Secretary	514	36,848	Secretary	514	34,998
Secretary	514	46,093	Secretary	514	43,473
			Secretary	514	44,244
2006-2007 Appropriati	ons:				
Personal Service		\$1,901,873	2006-2007 Appropriation	ns:	
Non-personal Service	_	400,610	Personal Service		\$1,644,136
Total:		\$2,302,483	Non-personal Service		335,363
			_		

THIRD DEPARTMENT

Title	Grade	2/06 Salary
Chief Attorney	NS	\$126,555
Dep. Chief Attorney	532	123,103
Principal Attorney	531	103,394
Principal Attorney	531	101,073
Principal Attorney	531	99,167
Principal Attorney	531	101,077
Princ. Court Analyst	523	79,117
Sr. Court Analyst	521	71,578
Asst. Court Analyst	516	55,328
Secretary	514	47,942
Secretary	514	42,418
Secretary	514	34,999
Secretary	514	36,848
Secretary	514	36,848

2006-2007 Appropriations: Personal Service

Personal Service	\$1,088,358
Non-personal Service	200,707

\$1,289,065 Total:

Personal Service	\$1,644,136
Non-personal Service	335,363

Total: \$1,979,499