

New York State Bar Association

Annual Report on Lawyer Discipline in New York State for the Year 1996

from

Committee on Professional Discipline



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Committee on Professional Discipline

1996-1997

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Table of Contents

Introduction.....	i	
Statistics on Matters Processed		
Table 1: Statewide 1996 Disciplinary Statistics	1	
Table 2: Ten-year Departmental and Statewide Statistical Summary	3	
Table 3: Ten-year Grievance Committee Statistical Summary.....	5	
Reports of Individual Committee Activity.....	9	
Disciplinary Decisions Reported by Appellate Division in 1996		23
Organization and Budget		
Professional Disciplinary Staffs and Departmental and District Grievance Committees.....	61	
Attorney Discipline Budget Appropriations and Salaries of Disciplinary Staff.....	69	

INTRODUCTION

This report is divided into three parts. Each part is intended to explain a different aspect of the disciplinary system.

Although each part of our report is complete and self-contained, by way of introduction, we offer a summary of the report's various parts and a brief overview of the report as a whole so that the reader can better understand how these parts relate to each other.

In general, our report is intended to serve a dual purpose. It is, in one sense, a compilation of data, reflecting the immediate past in relation to the last ten years. It is also an expression of our hope for progress in the future development of professional discipline as a constructive means for regulating the practice of law.

PART I

The first part contains a statistical compilation of all disciplinary matters processed in New York State during 1996 and includes three tables. The last two of these tables compare disciplinary activity over the preceding ten years.

To those unfamiliar with the nomenclature of professional discipline in New York State, some of the terms used in the tables may be confusing. The most basic unit used for statistical reporting purposes is a "matter"; but, even that seemingly uncomplicated term requires a word of explanation.

The practice has been initially to consider each intended complaint of misconduct or supposed cause for investigation as an "inquiry." When it appears that the "inquiry" does not allege conduct which, even if true, would constitute professional misconduct, it is rejected for "failure to state a complaint." Otherwise, where a cognizable complaint has been alleged, the file is assigned for investigation.

All inquiries in the form of a written complaint that come to the attention of a disciplinary committee, whether or not subsequently dismissed for "failure to state a complaint," are deemed "matters" for reporting purposes. Simple requests for information about an attorney's conduct or mere telephonic accusations are no longer reported as "matters." Prior to 1990, for a time, such requests were reported as "matters" in at least two of the Departments, and that lack of uniformity had made statistical comparisons exceedingly difficult.

Often several "matters" will involve the same attorney; and all matters involving that attorney which are considered simultaneously will be deemed one "case."

The disciplinary procedures employed vary widely among the four Departments. Understandably, some of the terminology used to describe those differing procedures will also vary. What is remarkable about New York, is that often the same procedures will be described differently by the various Departments of the Appellate Division and even functionally

equivalent agencies of discipline will be known by different names.

For example, until May 1994 (when the First Department abolished them), all four Departments used "Letters of Caution." In the First, Second and Fourth Departments, such letters have not been considered professional discipline. However, in the Third Department, where there are so-called "Letters of Education" as well as Letters of Caution, the former have been deemed the functional equivalent of Letters of Caution and the latter are considered a form of professional discipline.

Even the names used to describe the official agencies of discipline vary from one department to another. In the First Department, we refer to the "Departmental Disciplinary Committee" as the principal agency of professional discipline; in the Third Department, its functional equivalent is known as the "Committee on Professional Standards"; in the Second and Fourth Departments, there are three district "Grievance Committees" which serve as the principal agencies of discipline in each of those two Departments.

The amount of committee consultation and review also varies widely among the Departments; and assessing the workload of the respective disciplinary agencies in terms of the statistics reported is complicated by the wide variation in procedures. For example, before formal proceedings may be instituted in the Second, Third and Fourth Departments, the full district committee must be consulted and the

court must approve issuance of the charges. However, in the First Department, only one member of the committee need be consulted and the staff is authorized to issue charges without further consultation of the committee or approval by the court. The procedures on closing files reflect a similar degree of diversity in the amount of committee consultation and review.

The multiplicity of disciplinary committees operating throughout the State results in each committee receiving a substantial number of inquiries and complaints that fall within the jurisdiction of other committees and which must then be referred out. Sometimes this is a consequence of the complainant having chosen the wrong forum; other times it is as a consequence of judicial policy requiring official staff review of all complaints relating to attorney conduct. For example, in the Second and Fourth Departments, all complaints received by county bar association grievance committees (with the sole exception of those received by one association in the Fourth Department) are routinely referred to the professional staff of one of the district grievance committees. Even if the complaint appears to be nothing more than a fee dispute, by court rule in these Departments, a policy has been established to refer all inquiries to the district grievance committee's professional staff. Upon review, the district grievance committee, in turn, will refer a large portion of these matters to county bar association committees for further processing and investigation. Often a matter that was initially referred to the

district committee will be referred back to the same county bar association. Such matters would be reported by the district committee as both "new matters received" and "referred to other disciplinary committees."

Statistics from the First Department include matters that are referred by the departmental disciplinary committee to the "complaint mediation panels" operated pursuant to court order by members of the Association of the Bar of the City of New York, the Bronx County Bar Association and the New York County Lawyers' Association. Other matters, more properly regarded as "fee disputes," are referred to the joint committee on fee disputes for New York and Bronx Counties, consisting of members appointed by the same three local bar associations. Occasionally, matters that have been referred out to the mediation panels or the joint committee are referred back to the departmental disciplinary committee for further investigation.

The statistics from the Second Department represent the total number of actions taken by the various court appointed district committees and include the reports of county bar association grievance committees. The reports of the district committees, as well as those of the local bar association committees (including the respective grievance committees of the Brooklyn, Queens, Richmond, Dutchess, Orange, Putnam, Rockland and Westchester Bar Associations), are separately reproduced. In the Tenth Judicial District, the grievance committees of the Nassau and Suffolk County Bar Associations

only investigate so-called "minor complaints" and then report them to the district committee which makes the ultimate disposition. Such matters, although for the most part processed by the local bar association committees, are incorporated in the report of the district committee.

In the Third Department, relatively few matters are processed by local bar associations. It is estimated that less than 10% of the total number of matters are handled by such associations. However, no statistics are available that separately reflect such bar association activity.

Finally, in the Fourth Department, a substantial number of so-called "minor complaints" are processed by local bar associations. The local processing of such matters is included, without differentiation, in the statistics furnished by the district committees.

PART II

The second part of this report summarizes all disciplinary decisions published by the four departments of the Appellate Division during 1996. Although these decisions are important in terms of expressing the judiciary's treatment of serious misconduct, it should be remembered that they represent only a small portion of the matters which pass through the disciplinary system each year, and that most cases which involve some form of professional misconduct are not referred to the courts for imposition of discipline.

The lists of cases contained in this part are intended to summarize the disciplinary decisions issued by the four departments of the Appellate Division during the year just ended. There are eight lists, one for each of the official agencies of discipline. Each list is divided into three columns. The first column contains the respondent's name and the citation; the second column contains a brief statement of the action taken by the court; and the last column summarizes the charges, where relevant to the proceedings.

As with all summaries, the need to condense a large body of information results in a loss of substantial detail. Accordingly, the reader who is interested in obtaining information about a particular case or respondent is cautioned not to rely on the summary presentation contained in these lists. Instead, such reader is urged to refer to the full text of the court's decision.

PART III

The third and last part of this report contains various data on the organization and budget of the State's eight official agencies of discipline. It is comprised of two sections. The first section sets forth the names and titles of the professional disciplinary staff and their respective volunteer committee members in each of the eight official disciplinary agencies of the State. The second section sets forth the disciplinary budget appropriations for fiscal 1996-1997 and the salaries of disciplinary staff.

A Note of Appreciation

In reviewing these statistics, the reader is urged to consider the number of complaints processed in the course of a year as against the resources and personnel available to do the job. The tables contained in Part I of this report reveal that over 13,000 complaints were processed last year.

Available to do the job were less than 400 individuals. It is to them, in recognition of their unflagging devotion to their duties, that this report is respectfully dedicated.

Disciplinary Statistics 1996

Tables

Table 1
Appellate Division
January 1, 1996 - December 31, 1996

	1st Dept.	2d Dept.	3d Dept.	4th Dept.	Total
I. MATTERS PROCESSED:¹					
Pending at start of period	1323	2491	615	518	4947
New matters received ²	4019	6034	1529	1755	13337
Matters disposed of	3883	5889	1522	1957	13251
Pending at end of period	1459	2636	622	316	5033
II. COMMITTEE DISPOSITIONS:					
Rejected for failing to state complaint	951	1936	800	613	4300
Referred to other agencies ³	620	1319	116	51	2106
Dismissed or withdrawn	2047	1567	340	958	4912
Letters of caution	N/A	258	74	156	488
Letters of admonition	101	180	61	73	415
Admonition or reprimand	0	4	43	N/A	47
Referred to court	164	565	88	106	923
Other	0	60	0	0	60
TOTAL DISPOSED OF:	3883	5889	1522	1957	13251
III. CASES PROCESSED IN COURTS:					
Cases pending at start of period ⁴	49	146	24	32	251
Cases received	157	184	80	62	483
CASES CLOSED					
Disbarred	32	33	7	8	80
Resigned	8	20	0	4	32
Suspended	40	34	14	13	101
Censured	12	15	3	7	37
Censured privately	2	0	0	0	2
Remanded to Grievance Committee	15	7	1	4	27
Reinstatements granted	8	19	2	7	36
Reinstatements denied	10	13	2	0	25
Discontinued	5	5	0	0	10
Dismissed	0	0	1	3	4
All other dispositions	34	56	50	34	174
TOTAL CLOSED:	166	202	80	80	528
TOTAL PENDING:	40	128	24	14	206

¹ "Matters" refers to the number of complaints, not attorneys.

² This includes complaints received and reactivated.

³ This includes matters referred to other disciplinary committees and other agencies.

⁴ Number of attorneys, not number of complaints.

Table 2

	New Matters*	Matters Closed*	Disciplinary Action by Committees	Disciplinary Action by Court
1st Department				
1987	2328	2111	94	56
1988	4996	5087	86	45
1989	5278	5174	144	39
1990	2247	2408	175	40
1991	2343	2288	155	44
1992	2819	2808	146	71
1993	2782	2766	157	69
1994	2946	3034	114	79
1995	3227	3120	111	74
1996	3399	3263	101	94
2nd Department				
1987	3325	3465	282	49
1988	3119	3049	247	80
1989	3535	3170	291	75
1990	3275	3459	415	74
1991	3770	3672	395	105
1992	3519	3458	309	112
1993	4049	3585	378	85
1994	4438	4404	419	99
1995	4202	4360	444	99
1996	4715	4570	442	102
3rd Department				
1987	979	983	96	16
1988	1079	925	95	20
1989	1235	1237	63	13
1990	1374	1322	89	28
1991	1411	1326	121	40
1992	1450	1376	142	47
1993	1467	1557	149	44
1994	1414	1355	151	63
1995	1367	1434	147	62
1996	1413	1406	178	24
4th Department				
1987	2181	1992	51	19
1988	2631	2653	34	14
1989	2307	2368	26	14
1990	1659	2116	68	20
1991	1930	1775	77	12
1992	1939	2040	105	24
1993	2060	2204	136	26
1994	1825	2131	193	31
1995	1815	1802	178	29
1996	1704	1906	159	38

* These figures are reduced by the number of complaints referred to other committees for action.

Statewide

1987	8813	8551	523	140
1988	11825	8929	462	159
1989	12355	11949	524	141
1990	8555	9305	747	162
1991	9454	9061	748	201
1992	9727	9682	702	254
1993	10358	10112	820	224
1994	10623	10924	877	272
1995	10611	10716	880	264
1996	11231	11145	950	252

Table 3

	New Matters*	Matters Closed*	Disciplinary Action by Committees	Disciplinary Action by Court
Departmental Disciplinary Committee for the First Department				
1987	2328	2111	94	56
1988	4996	5087	86	45
1989	5278	5174	144	39
1990	2247	2408	175	40
1991	2343	2288	155	44
1992	2819	2808	146	71
1993	2782	2766	157	69
1994	2946	3034	114	79
1995	3227	3120	111	74
1996	3399	3263	101	94
Grievance Committee for the Second and Eleventh Judiciary Districts, Second Department				
1987	1019	916	56	18
1988	992	1017	92	33
1989	1142	941	102	12
1990	1122	1201	220	26
1991	1211	1134	210	34
1992	1104	1147	142	41
1993	1238	1308	182	36
1994	1325	1391	202	36
1995	1333	1369	191	52
1996	1436	1483	246	37
Grievance Committee for the Ninth Judicial District, Second Department				
1987	829	894	41	10
1988	825	732	63	22
1989	915	900	101	34
1990	733	938	92	28
1991	1042	1030	48	34
1992	915	802	45	27
1993	1017	853	77	21
1994	963	1168	97	23
1995	888	1003	118	17
1996	919	896	79	33
Grievance Committee for the Tenth Judicial District, Second Department				
1987	829	1355	169	21
1988	1302	1300	92	25
1989	1478	1329	88	29
1990	1420	1320	103	20
1991	1517	1508	137	37
1992	1500	1509	116	44
1993	1794	1424	119	28
1994	2150	1845	120	40
1995	1969	2002	135	30
1996	2360	2191	117	32

* These figures are reduced by the number of complaints referred to other committees for action.

Committee on Professional Standards, Third Department

1987	979	983	96	16
1988	1079	925	95	20
1989	1235	1237	63	13
1990	1374	1322	89	28
1991	1411	1326	121	40
1992	1450	1376	142	47
1993	1467	1557	149	44
1994	1414	1355	151	63
1995	1367	1434	147	62
1996	1413	1406	178	24

Grievance Committee for the Fifth Judicial District, Fourth Department

1987	385	382	1	5
1988	435	419	7	3
1989	406	404	2	8
1990	423	439	9	6
1991	453	400	12	0
1992	356	418	41	3
1993	423	364	13	4
1994	366	397	28	7
1995	415	366	24	11
1996	412	513	48	6

Grievance Committee for the Seventh Judicial District, Fourth Department

1987	602	447	14	5
1988	571	535	12	7
1989	535	566	17	6
1990	516	469	19	5
1991	486	472	15	3
1992	609	585	28	3
1993	647	741	32	7
1994	652	746	70	11
1995	601	630	52	6
1996	536	575	53	11

Grievance Committee for the Eighth Judicial District, Fourth Department

1987	1194	1143	36	9
1988	1625	1699	15	4
1989	1366	1398	7	0
1990	720	1208	40	9
1991	991	903	50	9
1992	972	1037	36	11
1993	990	1099	91	15
1994	807	988	95	13
1995	799	806	102	12
1996	756	820	128	15

Statistical Reports of Disciplinary Committees

Departmental Disciplinary Committee for the First Department

I. Matters Processed:¹

A.	Matters Pending on Jan. 1, 1996	1323	
B.	New Matters Received During Period	3907	
C.	Closed Matters Reactivated During Period	112	
D.	Total Matters to be Processed During Period		5342
E.	Total Matters Disposed of During Period		3883
F.	Matters Pending on Dec. 31, 1996		1459

II. Matters Disposed of by Committee

	CASES:	MATTERS:
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A.	Rejected as Failing to State a Complaint	951	951
B.	Referred to Other Disciplinary Committees/Agencies	620	620
C.	Dismissed	2047	2047
D.	Letter of Admonition	83	101
E.	Reprimand After Hearing	0	0
F.	Referred to Appellate Division	106	164
G.	Total Disposed of During Period	3807	3883

III. Cases Processed in All Courts:

A.	Cases Pending on Jan. 1, 1996	49	
	1. Disciplinary Proceedings	37	
	2. Other	12	
B.	Cases Received During Period	157	
	1. Disciplinary Proceedings	90	
	2. Other	67	
C.	Total To Be Processed During Period	206	
D.	Cases Closed		
	1. Disbarred	32	
	2. Resigned	8	
	3. Suspended ²	40	
	4. Censured	12	
	5. Privately Censured	2	
	6. Remanded to Grievance Committee	15	
	7. Discontinued	5	
	8. Dismissed	0	
	9. Reinstatements Granted	8	
	10. Reinstatements Denied	10	
	11. All Other Dispositions	34	
	12. Total Closed	166	
E.	Total Cases Pending at End of Period	40	
	1. Disciplinary Proceedings	27	
	2. Other	13	

¹ For purposes of this Report, "Matters" represents the number of complaints, telephone calls which initiate an investigation, inquiries and *sua sponte* investigation, while "Cases" refers to the number of respondent-attorneys against whom proceedings have been instituted. As some attorneys are the subject of multiple complaints, the number of matters exceed the number of cases.

² 14 of the reported suspensions were interim suspensions.

Grievance Committee for the Second and Eleventh Judicial Districts, Second Department

I. Matters Processed:

A.	Matters Pending on Jan. 1, 1996	693	
B.	New Matters Received During Period	2135	
C.	Closed Matters Reactivated During Period	33	
D.	Total Matters to be Processed During Period		2861
E.	Total Matters Disposed of During Period		2215
F.	Matters Pending on Dec. 31, 1996		646

II. Matters Disposed of by Committee

		CASES:	MATTERS:
A.	Rejected as Failing to State a Complaint	724	724
B.	Referred to Other Disciplinary Committees	705	705
C.	Referred to Other Agencies	27	27
D.	Dismissed or Withdrawn	341	341
E.	Letter of Caution	145	147
F.	Letter of Admonition	70	98
G.	Reprimand After Hearing	1	1
H.	Referred to Appellate Division	48	136
I.	Tabled Pending Litigation	22	22
J.	Other	14	14
K.	Total Disposed of During Period	2097	2215

III. Cases Processed in All Courts:

A.	Cases Pending on Jan. 1, 1996	56	83
1.	Disciplinary Proceedings	36	63
2.	Other	20	20
B.	Cases Received During Period	65	137
1.	Disciplinary Proceedings	34	106
2.	Other	31	31
C.	Total To Be Processed During Period	121	220
D.	Cases Closed		
1.	Disbarred	15	52
2.	Resigned	7	54
3.	Suspended	8	15
4.	Censured	7	11
5.	Privately Censured	0	0
6.	Remanded to Grievance Committee	0	0
7.	Discontinued	1	2
8.	Dismissed	0	0
9.	Reinstatements Granted	10	10
10.	Reinstatements Denied	7	7
11.	All Other Dispositions*	22	22
12.	Total Closed	77	173
E.	Total Cases Pending at End of Period	44	47
1.	Disciplinary Proceedings	32	35
2.	Other	12	12

* Interim Suspensions

Richmond County Bar Association Grievance Committee

I.	Matters Processed:	
A.	Pending at Start of Period:	45
B.	New Matters Received During Period:	47
C.	Closed Matters Reactivated During Period:	2
D.	Total Matters to be Processed During Period:	94
E.	Total Matters Disposed of During Period:	60
F.	Matters Pending at End of Period:	34
II.	Matters Disposed of by Committee:	
A.	Rejected as Failing to State Complaint:	0
B.	Retained by District Grievance Committee:	13
C.	Referred to Fee Conciliation Committee:	7
D.	Settled by Fee:	0
E.	Judicially Settled:	0
F.	In Litigation:	1
G.	Referred to Commission on Judicial Conduct:	1
H.	Closed by Appellate Division:	5
I.	Dismissed:	25
J.	Letter of Caution:	0
K.	Withdrawn:	4
L.	Admonition (by district committee):	0
M.	Suspension (by district committee):	0
N.	Reprimand (by district committee):	0
O.	Disbarred (by District Committee):	1
P.	Letter of Education:	0
Q.	File to U.S. Attorney:	0
R.	Dismissed by Appellate Division with Advisement:	1
S.	Forwarded to 1st Dept. by Appellate Division:	1
T.	Forwarded to 9th District by Appellate Division:	1
	Total Disposed of During Period:	60

Brooklyn Bar Association Grievance Committee

Total Complaints Received:	120
Dismissed:	55
Withdrawn:	4
Complaints Pending:	61
Arbitration Complaints Received:	45
Scheduled for Arbitration Hearings:	2
Returned to District Grievance Committee:	1
Closed Due to Complainants' Unavailability:	2
Pending:	40

Queens County Bar Association Grievance Committee

Dismissed:	83
Dismissed with Advisement:	11
Letter of Caution:	2
Letter of Admonition:	0
Referred to District Grievance Committee:	6
Tabled:	7
Fee Disputes Committee	
No Consent from Respondent:	4
No Consent from Complainant:	3
Matter Settled Prior to Arbitration:	3
No Response from Either Complainant or Respondent:	6
Declination of Arbitration by Complainant/Respondent:	15
Matters Closed by Arbitration:	10

Grievance Committee for the Ninth Judicial District, Second Department

I. Matters Processed:

A.	Matters Pending on Jan. 1, 1996	338	
B.	New Matters Received During Period	1267	
C.	Closed Matters Reactivated During Period	37	
D.	Total Matters to be Processed During Period		1642
E.	Total Matters Disposed of During Period		1281
F.	Matters Pending on Dec. 31, 1996		361

II. Matters Disposed of by Committee

		CASES:		MATTERS:
A.	Rejected as Failing to State a Complaint			372
B.	Referred to Other Disciplinary Committees			359
C.	Referred to Other Agencies			26
D.	Dismissed or Withdrawn			334
E.	Letter of Caution			46
F.	Letter of Admonition			32
G.	Reprimand After Hearing			1
H.	Referred to Appellate Division	57		111
I.	Total Disposed of During Period			1281

III. Cases Processed in All Courts:

A.	Cases Pending on Jan. 1, 1996			
	1. Disciplinary Proceedings	47		105
	2. Other	0		0
B.	Cases Received During Period			
	1. Disciplinary Proceedings	57		111
	2. Other	0		0
C.	Total To Be Processed During Period	104		216
D.	Cases Closed			
	1. Disbarred	8		18
	2. Resigned	8		23
	3. Suspended	13		28
	4. Censured	4		5
	5. Privately Censured	0		0
	6. Remanded to Grievance Committee	1		1
	7. Discontinued	0		0
	8. Dismissed	0		0
	9. Reinstatements Granted	2		2
	10. Reinstatements Denied	4		4
	11. All Other Dispositions	21		23
	12. Total Closed	61		104
E.	Total Cases Pending at End of Period			
	1. Disciplinary Proceedings	43		112
	2. Other	0		0

Dutchess County Bar Association Grievance Committee

Second Department, Ninth Judicial District

I. Matters Processed:

A.	Matters Pending on Jan. 1, 1996	7
B.	New Matters Received During Period	26
C.	Closed Matters Reactivated During Period	0
D.	Total Matters to be Processed During Period	33
E.	Total Matters Disposed of During Period	20
F.	Matters Pending on Dec. 31, 1996	13

II. Matters Disposed of by Committee:

A.	Rejected as Failing to State Complaint	2
B.	Referred to Other Disciplinary Committees	0
C.	Referred to Other Agencies	1
D.	Dismissed	16
E.	Dismissed with Cautionary Language	1
F.	Letter of Caution	0
G.	Letter of Admonition	0
H.	Reprimand	0
I.	Total Disposed of During Period	20

Orange County Bar Association Grievance Committee

Second Department, Ninth Judicial District

I. Matters Processed:

A.	Matters Pending on Jan. 1, 1996	19
B.	New Matters Received During Period	51
C.	Closed Matters Reactivated During Period	7
D.	Total Matters to be Processed During Period	77
E.	Total Matters Disposed of During Period	63
F.	Matters Pending on Dec. 31, 1996	14

II. Matters Disposed of by Committee:

A.	Rejected as Failing to State Complaint	0
B.	Referred to Other Disciplinary Committees	2
C.	Referred to Other Agencies	11
D.	Dismissed	47
E.	Dismissed with Cautionary Language	3
F.	Letter of Caution	0
G.	Letter of Admonition	0
H.	Reprimand	0
I.	Total Disposed of During Period	63

Putnam County Bar Association Grievance Committee

Second Department, Ninth Judicial District

I. Matters Processed:

A.	Matters Pending on Jan. 1, 1996	6
B.	New Matters Received During Period	4
C.	Closed Matters Reactivated During Period	0
D.	Total Matters to be Processed During Period	10
E.	Total Matters Disposed of During Period	7
F.	Matters Pending on Dec. 31, 1996	3

II. Matters Disposed of by Committee:

A.	Rejected as Failing to State Complaint	1
B.	Referred to Other Disciplinary Committees	0
C.	Referred to Other Agencies	1
D.	Dismissed	4
E.	Dismissed with Cautionary Language	1
F.	Letter of Caution	0
G.	Letter of Admonition	0
H.	Reprimand	0
I.	Total Disposed of During Period	7

Rockland County Bar Association Grievance Committee

Second Department, Ninth Judicial District

I. Matters Processed:

A.	Matters Pending on Jan. 1, 1996	24
B.	New Matters Received During Period	25
C.	Closed Matters Reactivated During Period	0
D.	Total Matters to be Processed During Period	49
E.	Total Matters Disposed of During Period	31
F.	Matters Pending on Dec. 31, 1996	18

II. Matters Disposed of by Committee:

A.	Rejected as Failing to State Complaint	0
B.	Referred to Other Disciplinary Committees	1
C.	Referred to Other Agencies	0
D.	Dismissed	28*
E.	Dismissed with Cautionary Language	1
F.	Letter of Caution	1
G.	Letter of Admonition	0
H.	Reprimand	0
I.	Total Disposed of During Period	31

* Three of these matters were withdrawn by the complainant.

Westchester County Bar Association Grievance Committee

Second Department, Ninth Judicial District

I. Matters Processed:

A.	Matters Pending on Jan. 1, 1996	123
B.	New Matters Received During Period	104
C.	Closed Matters Reactivated During Period	0
D.	Total Matters to be Processed During Period	227
E.	Total Matters Disposed of During Period	58
F.	Matters Pending on Dec. 31, 1996	169

II. Matters Disposed of by Committee:

A.	Rejected as Failing to State Complaint	0
B.	Referred to Other Disciplinary Committees	0
C.	Referred to Other Agencies	1
D.	Dismissed	54
E.	Withdrawn:	0
F.	Dismissed with Cautionary Language	1
G.	Letter of Caution	0
H.	Letter of Admonition	2
I.	Reprimand	0
J.	Total Disposed of During Period	58

Grievance Committee for the Tenth Judicial District, Second Department

I. Matters Processed:

A.	Matters Pending on Jan. 1, 1996	1460	
B.	New Matters Received During Period	2528	
C.	Closed Matters Reactivated During Period	34	
D.	Total Matters to be Processed During Period		4022
E.	Total Matters Disposed of During Period		2393
F.	Matters Pending on Dec. 31, 1996		1628

II. Matters Disposed of by Committee

		CASES:		MATTERS:
A.	Rejected as Failing to State a Complaint	840		840
B.	Referred to Other Disciplinary Committees	158		158
C.	Referred to Other Agencies	44		44
D.	Dismissed or Withdrawn	892		892
E.	Letter of Caution	65		65
F.	Letter of Admonition	42		50
G.	Admonition or Reprimand	2		2
H.	Other	14		24
I.	Referred to Appellate Division	39		318
J.	Total Disposed of During Period	2096		2393

III. Cases Processed in All Courts:

A.	Cases Pending on Jan. 1, 1996	43		71
	1. Disciplinary Proceedings	28		56
	2. Other	15		15
B.	Cases Received During Period	62		343
	1. Disciplinary Proceedings	39		320
	2. Other	23		23
C.	Total To Be Processed During Period	105		414
D.	Cases Closed			
	1. Disbarred	10		21
	2. Resigned	5		11
	3. Suspended	13		22
	4. Censured	4		4
	5. Privately Censured	0		0
	6. Remanded to Grievance Committee	6		11
	7. Discontinued	4		4
	8. Dismissed	0		0
	9. Reinstatements Granted	7		7
	10. Reinstatements Denied	2		2
	11. All Other Dispositions	13		13
	12. Total Closed	64		95
E.	Total Cases Pending at End of Period	41		319
	1. Disciplinary Proceedings	29		307
	2. Other	12		12

**Committee on Professional Standards, Third Department
(Third, Fourth and Sixth Judicial Districts)**

I. Matters Processed:

A.	Matters Pending on Jan. 1, 1996	615	
B.	New Matters Received During Period	1479	
C.	Closed Matters Reactivated During Period	50	
D.	Total Matters to be Processed During Period		2144
E.	Total Matters Disposed of During Period		1522
F.	Matters Pending on Dec. 31, 1996		622

II. Matters Disposed of by Committee

CASES:

MATTERS:

A.	Rejected as Failing to State a Complaint	746	800
B.	Referred to Other Disciplinary Committees	89	89
C.	Referred to Other Agencies	27	27
D.	Dismissed or Withdrawn	319	340
E.	Letter of Caution (or Education)	73	74
F.	Letter of Admonition	55	61
G.	Admonition (or Reprimand)	30	43
H.	Referred to Appellate Division	52	88
I.	Total Disposed of During Period	1391	1522

III. Cases Processed in All Courts:

A.	Cases Pending on Jan. 1, 1996	24	51
	1. Disciplinary Proceedings	23	50
	2. Other	1	1
B.	Cases Received During Period	80	121
	1. Disciplinary Proceedings	57	98
	2. Other	23	23
C.	Total To Be Processed During Period	104	172
D.	Cases Closed		
	1. Disbarred	7	10
	2. Resigned	0	0
	3. Suspended	14	39
	4. Censured	3	4
	5. Privately Censured	0	0
	6. Remanded to Grievance Committee	1	1
	7. Discontinued	0	0
	8. Dismissed	1	2
	9. Reinstatements Granted	2	2
	10. Reinstatements Denied	2	2
	11. Non-Disciplinary Resignations	13	13
	12. All Other Dispositions	37	54
	13. Total Closed	80	127
E.	Total Cases Pending at End of Period	24	45
	1. Disciplinary Proceedings	21	42
	2. Other	3	3

Grievance Committee for the Fifth Judicial District, Fourth Department

I. Matters Processed:

A.	Matters Pending on Jan. 1, 1996	170	
B.	New Matters Received During Period	413	
C.	Closed Matters Reactivated During Period	26	
D.	Total Matters to be Processed During Period		609
E.	Total Matters Disposed of During Period		540
F.	Matters Pending on Dec. 31, 1996		69

II. Matters Disposed of by Committee

		CASES:		MATTERS:
A.	Rejected as Failing to State a Complaint	142		147
B.	Referred to Other Disciplinary Committees	25		25
C.	Referred to Other Agencies	2		2
D.	Dismissed or Withdrawn	268		293
E.	Letter of Caution	22		29
F.	Letter of Admonition	8		19
G.	Admonition (or Reprimand)	N/A		N/A
H.	Referred to Appellate Division	16		23
I.	Total Disposed of During Period	483		540

III. Cases Processed in All Courts:

A.	Cases Pending on Jan. 1, 1996	4	
	1. Disciplinary Proceedings	4	
	2. Other	0	
B.	Cases Received During Period	16	
	1. Disciplinary Proceedings	9	
	2. Other	7	
C.	Total To Be Processed During Period	20	
D.	Cases Closed		
	1. Disbarred	2	
	2. Resigned	1	
	3. Suspended	2	
	4. Censured	1	
	5. Privately Censured	0	
	6. Remanded to Grievance Committee	3	
	7. Discontinued	0	
	8. Dismissed	1	
	9. Reinstatements Granted	5	
	10. Reinstatements Denied	0	
	11. All Other Dispositions	4	
	12. Total Closed	19	
E.	Total Cases Pending at End of Period	1	
	1. Disciplinary Proceedings	1	
	2. Other	0	

Grievance Committee for the Seventh Judicial District, Fourth Department

I. Matters Processed:

A.	Matters Pending on Jan. 1, 1996	102	
B.	New Matters Received During Period	519	
C.	Closed Matters Reactivated During Period	26	
D.	Total Matters to be Processed During Period		647
E.	Total Matters Disposed of During Period		584
F.	Matters Pending on Dec. 31, 1996		63

II. Matters Disposed of by Committee

CASES: MATTERS:

A.	Rejected as Failing to State a Complaint	230	252
B.	Referred to Other Disciplinary Committees	9	9
C.	Referred to Other Agencies	0	0
D.	Dismissed or Withdrawn	195	243
E.	Letter of Caution	29	30
F.	Letter of Admonition	5	23
G.	Admonition or Reprimand	N/A	N/A
H.	Referred to Appellate Division	10	27
I.	Total Disposed of During Period	478	584

III. Cases Processed in All Courts:

A.	Cases Pending on Jan. 1, 1996	5	
	1. Disciplinary Proceedings	5	
	2. Other	0	
B.	Cases Received During Period	10	
	1. Disciplinary Proceedings	5	
	2. Other	5	
C.	Total To Be Processed During Period	15	
D.	Cases Closed		
	1. Disbarred	2	
	2. Resigned	2	
	3. Suspended	4	
	4. Censured	3	
	5. Privately Censured	0	
	6. Remanded to Grievance Committee	0	
	7. Discontinued	0	
	8. Dismissed	0	
	9. Reinstatements Granted	0	
	10. Reinstatements Denied	0	
	11. All Other Dispositions	2	
	12. Total Closed	13	
E.	Total Cases Pending at End of Period	2	
	1. Disciplinary Proceedings	2	
	2. Other	0	

Grievance Committee for the Eighth Judicial District, Fourth Department

I. Matters Processed:

A.	Matters Pending on Jan. 1, 1996	246	
B.	New Matters Received During Period	718	
C.	Closed Matters Reactivated During Period	53	
D.	Total Matters to be Processed During Period		1017
E.	Total Matters Disposed of During Period		835
F.	Matters Pending on Dec. 31, 1996		182

II. Matters Disposed of by Committee

		CASES:		MATTERS:
A.	Rejected as Failing to State a Complaint	210		214
B.	Referred to Other Disciplinary Committees	14		14
C.	Referred to Other Agencies	1		1
D.	Dismissed or Withdrawn	374		422
E.	Letter of Caution	81		97
F.	Letter of Admonition	13		31
G.	Admonition	N/A		N/A
H.	Referred to Appellate Division	35		56
I.	Total Disposed of During Period	728		835

III. Cases Processed in All Courts:

A.	Cases Pending on Jan. 1, 1996	23	
	1. Disciplinary Proceedings	8	
	2. Other	15	
B.	Cases Received During Period	36	
	1. Disciplinary Proceedings	19	
	2. Other	17	
C.	Total To Be Processed During Period	59	
D.	Cases Closed		
	1. Disbarred	4	
	2. Resigned	1	
	3. Suspended	7	
	4. Censured	3	
	5. Privately Censured	0	
	6. Remanded to Grievance Committee	1	
	7. Discontinued	0	
	8. Dismissed	2	
	9. Reinstatements Granted	2	
	10. Reinstatements Denied	0	
	11. All Other Dispositions	28	
	12. Total Closed	48	
E.	Total Cases Pending at End of Period	11	
	1. Disciplinary Proceedings	9	
	2. Other	2	

**Disciplinary Decisions Reported by
Appellate Division in 1996**

FIRST DEPARTMENT

Attorney	Discipline Imposed	Statute or Rule
Gary Farrell 218 AD2d 38	Disbarred	DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice); DR 9-102(A)(commingling); DR 9-102(B)(3)(failure to maintain sufficient funds in attorney account); DR 9-102(C)(4) (failing promptly to return third party funds).
Seanne W. McGuinness 218 AD2d 77	Suspended 5 years	DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice); DR 2-101(A)(false advertising); DR 7-102(A)(7)(counselling or assisting client in conduct lawyer knows to be illegal or fraudulent).
Richard W. Woodward 218 AD2d 65	Interim Suspension	Judiciary Law §90(4)(d) (serious crime - Federal felony conviction, conspiracy to commit securities fraud [18 USC §471]).
Charlotte S. Eaton 218 AD2d 134	Interim Suspension	22 NYCRR 603.4(e)(1)(i), (iii)(willful failure to cooperate with investigation, uncontested evidence of professional misconduct).
Nicholas A. Mina 218 AD2d 164	Disbarred	Judiciary Law §90(4)(b)(felony conviction - theft of property in excess of \$500, 3rd degree [New Jersey]).
Daniel F. Coughlin 218 AD2d 194	Suspended 2 years, effective retroactively to 12/2/93	Judiciary Law §90(4)(d)(serious crime - education fraud [20 USC §1097(a)]).
James P. Dugan 218 AD2d 223	Censured	22 NYCRR 603.3 (reciprocal discipline - New Jersey); DR 5-105 (conflict of interest).

Christopher J. Green 218 AD 2d 294	Interim Suspension	22 NYCRR 603.4(e)(1)(i), (ii), (iii) (willful failure to cooperate with investigation; substantial admissions under oath of professional misconduct; uncontested evidence of professional misconduct).
Michael Markovitch 218 AD2d 180	Suspended 4 years, effective retroactively to 7/17/93	Judiciary Law §90(4)(d)(serious crime - conspiracy to commit immigration fraud [18 USC §371]).
John V. Marchisello 218 AD2d 214	Disbarred	Judiciary Law §90(4)(b) (felony conviction - bribing a witness [Penal Law §§215.00(a) and (b)]; tampering with a witness [Penal Law §§215.11 (1) and (2)]; intimidating a victim or witness [Penal Law §215.15 (1)]).
James Oliviero 218 AD2d 204	Suspended 18 months	Judiciary Law §90(4)(d) (serious crime - insurance fraud, class A misdemeanor).
Dong-In Kim 218 Ad2d 307	Interim suspension	22 NYCRR 603.4(e)(1)(i), (iii)(willful failure to cooperate with committee's investigation, uncontested evidence of professional misconduct).
Rafael M. Pantoja 218 AD2d 292	Disbarred	Judiciary Law §90(4)(b) (felony conviction - grand larceny, attempted grand larceny).
John M. Barth 218 AD2d 304	Disbarred, effective 3/22/96	DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law); DR 9-102(B) (failure to establish separate accounts for client funds); DR 9-102(C)(4)(failure to pay funds to client); DR 9-102(D) (failure to maintain accurate bookkeeping records).
Valentine N. Horoshko 218 AD2d 339	Interim suspension	22 NYCRR 603.4(e)(1)(i) (willful failure to cooperate with committee's investigation).

Mark E. Segall 218 AD2d 331	Censured	DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law).
Rocco Vignola 218 AD2d 310	Censured	DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation).
Pedro Batalla 639 NYS2d 687	Suspended nunc pro tunc for period 5/4/94 - 5/3/95; immediate reinstatement	Judiciary Law §90(4)(d), (f) (serious crime - 26 USC §7201 [income tax evasion, filing false tax return]).
Michael T. Spallino 218 AD2d 431	Disbarred	22 NYCRR 603.3 (reciprocal discipline [New Jersey]); DR 9-102(A), (B) (commingling, failure to keep separate client accounts); DR 9-102(C) (1), (4) (failure to notify client of receipt of funds, failure to promptly pay funds to client).
Richard M. Glazer 218 AD2d 411	Interim suspension	22 NYCRR 603.4(e)(1)(iii) (uncontested evidence of professional misconduct).
Lorraine Backal 219 AD2d 2	Disbarred	DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(3)(obstruction of justice [illegal conduct involving moral turpitude]); DR 1-102(A)(5) (conduct prejudicial to administration of justice); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law).
Arthur E. Beyer 218 AD2d 489	Suspended 18 months, effective 4/22/96	DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 6-101(A)(3) (neglect); DR 9-102(C)(3) (failure to render appropriate accounts).
Stuart M. Fischman 219 AD2d 108	Indefinite suspension	22 NYCRR 603.16(c)(1) (medical incapacity making it impossible for respondent to adequately defend himself).

Paul G. Reilly, Jr. 219 AD2d 96	Disbarred	22 NYCRR 603.4(g)(failure to appear or apply in writing to the committee for a hearing or reinstatement within six months of interim suspension date).
Lloyd Clareman 219 AD2d 195	Censured	DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law).
Henry William Archer 219 AD2d 305	Indefinite suspension	22 NYCRR 603.16(b)(1) (mental incapacity).
Arthur J. Gallancy 219 AD2d 298	Interim suspension	22 NYCRR 603.4(e)(1)(iii) (uncontested evidence of professional misconduct).
Richard Kranis 219 AD2d 278	Disbarred	DR 1-102(A)(4)(dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law); DR 6-101(A)(3)(neglect).
Ralph M. Gutstein 219 AD2d 330	Disbarred	22 NYCRR 603.4(g)(failure to appear or interpose an answer within six months of suspension date).
Joseph P. Greenwald 220 AD2d 59	Interim suspension	Judiciary Law §90(4)(d) (serious crime - 18 USC §371 [conspiracy to violate the Securities Law]).
Herbert P. Minkel, Jr. 221 AD2d 28	Censured	Judiciary Law §90(4)(d) (serious crime - 26 USC §7203 [failure to file U.S. income tax returns], NY Tax Law §1980[a][class A misdemeanor]).
Alfred M. Christiansen 220 AD2d 98	Disbarred	Judiciary Law §90(4)(b) (felony conviction - 18 USC §1962[c],[d][racketeering]; 18 USC §1341 [mail fraud]; 18 USC §1343 [wire fraud] (Penal Law §175.35 [submitting false documents to government agency]; Penal Law §200.00 [bribing public official]).

Barry A. Witchell 220 AD2d 153	Interim suspension	Judiciary Law §90(4)(d) (serious crime - felony conviction, 26 USC §7201 [tax evasion]).
Harvey Weinig 220 AD2d 184	Stricken on consent	22 NYCRR 603.11 (resignation of attorney under investigation).
Richard T. Nasti 221 AD2d 1	Suspended 6 months, effective 6/28/96	DR 1-102(A)(3)(illegal conduct involving moral turpitude); DR 1-102(A)(4) (conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8) (conduct adversely reflecting on fitness to practice law).
William B. Hildebrand 221 AD2d 85	Censured	DR 1-101(A)(failure to report criminal conviction); DR 1-102(A)(3)(illegal conduct involving moral turpitude); DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law).
Ronald G. Levine 220 AD2d 195	Disbarred, effective 7/8/96	22 NYCRR 603.4(g)(failure to appear or apply to committee or court for hearing or reinstatement within six months of interim suspension date).
Joel H. Cohen 221 AD2d 129	Disbarred	Judiciary Law §90(4)(b) (felony conviction - commercial bribing 1st degree [class E felony]).
Nathan C. Nasser 222 AD2d 33	Interim suspension	Judiciary Law §90(4)(d) (serious crime - 18 USC §1014 [making false statements]).
Mark C. Rushfield 222 AD2d 1	Censured	22 NYCRR 603.3 (reciprocal discipline [New Jersey]; 29 USC §1131 [violation of Employee's Retirement Security Act]; DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law).

Arthur R. Scott, Jr. 222 AD2d 67	Disbarred	Judiciary Law §90(4)(b) (felony conviction - grand larceny by extortion [Penal Law §200.10]; scheme to defraud [Penal Law §190.65(1)(1)]).
Frederick E. Watson 222 AD2d 4	Disbarred, effective 7/15/96	22 NYCRR 603.3 (reciprocal discipline [California]); failure to register.
Roger Levin 222 AD2d 72	Interim suspension	22 NYCRR 603.4(e)(1)(iii) (uncontested evidence of professional misconduct).
Leo Raychuk 222 AD2d 96	Stricken on consent	22 NYCRR 603.11 (resignation of attorney under investigation).
Catherine P. Mitchell 222 AD2d 170	Censured	22 NYCRR 603.3 (reciprocal discipline [New Jersey]; DR 9-102[A][commingling]; DR 9-102[C][3][failure to render appropriate accounts]).
Charles L. Feely 223 AD2d 78	Interim suspension	22 NYCRR 603.4(e)(1)(i), (ii), (iv) (willful failure to cooperate with committee's investigation; substantial admissions under oath of professional misconduct; willful failure to pay money to a client).
Howard Krantz 223 AD2d 37	Disbarred	Judiciary Law §90(4)(b) (felony conviction - 18 USC §§371, 1958 [conspiracy to commit murder for hire][Penal Law §105.15]; 18 USC §924[c] [use of firearm in connection with murder]).
Charles L. Shapiro 223 AD2d 146	Stricken on consent	22 NYCRR 603.11 (resignation of attorney under investigation).
Mark H. Fogel 223 AD2d 166	Disbarred	Judiciary Law §90(4)(b) (felony conviction - numerous felonies, including scheme to defraud, grand larceny, criminal possession of stolen property, and perjury).

Gwynette R. Smith 223 AD2d 175	Disbarred	Judiciary Law §90(4)(d) (serious crime - felony, Criminal Code of California, Health and Safety Code §11350[a][possession of cocaine-base substance]).
W. James Noland 223 AD2d 82	Disbarred, effective 8/19/96	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 6-101(A)(3) (neglect).
Antoinette Holmes 223 AD2d 197	Interim suspension	22 NYCRR 603.4(e)(1)(i), (ii) and (iii) (willful failure to cooperate with committee's investigation, substantial admissions under oath of professional misconduct, uncontested evidence of professional misconduct).
William T. McCue 223 AD2d 230	Interim suspension	22 NYCRR 603.4(e)(1)(iii) (uncontested evidence of professional misconduct).
Bruce E. Wassner 223 AD2d 320	Disbarred	DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law); DR 6-101(A)(3)(neglect).
Sherman F. Taub 223 AD2d 327	Stricken on consent	22 NYCRR 603.11 (resignation of attorney under investigation).
Myrna Ocasio 223 AD2d 339	Censured	DR 9-102(D), (E) (required bookkeeping records and authorized signatories).
Joaquin Rivera 223 AD2d 345	Interim suspension	Judiciary Law §90(4)(d) (serious crime - federal felony conviction, 18 USC §371 [conspiracy to commit an offense or to defraud the United States]).
Michael J. Deutsch 224 AD2d 93	Disbarred	Judiciary Law §90(4)(b)(felony conviction - grand larceny [Penal Law §155.35]; commercial bribing [Penal Law §180.03]).

Raymond K. Hsu 224 AD2d 104	Interim suspension	22 NYCRR 603.4(e)(1)(ii), (iii)(substantial admissions under oath of professional misconduct, uncontested evidence of professional misconduct); 22 NYCRR 603.16(b)(1)(physical incapacity).
Roger G. Sam, Jr. 224 AD2d 119	Disbarred	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law); DR 6-101(A)(3)(neglect); DR 9-102(B)(failure to maintain escrow funds intact); DR 9-102(E)(negotiating checks to "cash" from escrow account).
Robert H. Aschheim 224 AD2d 149	Suspended 1 year, effective 10/28/96	22 NYCRR 603.3 (reciprocal discipline [Florida]); DR 1-102(A)(1)(lawyer shall not violate a disciplinary rule); DR 1-103(A)(duty to inform appropriate authority of violation of rules of professional conduct); DR 9-102(C)(4)(failure to promptly deliver funds to client or third party).
Larry A. Lubin 224 AD2d 110	Disbarred	22 NYCRR 603.3 (reciprocal discipline [California]); DR 1-102(A)(3)(illegal conduct involving moral turpitude); DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 2-110(A)(2)(improper withdrawal); Judiciary Law §478, DR 3-101(B)(unauthorized practice of law); DR 6-101(A)(1)(handling legal matter incompetently); DR 6-101(A)(3)(neglect); DR 9-102(C)(4)(failure to return funds or property to client); 22 NYCRR 603.4(e)(1)(i)(willful failure to cooperate with committee's investigation).

Charlotte S. Eaton 225 AD2d 32	Disbarred	22 NYCRR 603.4(g)(failure to appear or apply in writing to committee or court for rehearing within six months of interim suspension date).
H. Michael Zukowski 225 AD2d 1	Interim suspension	22 NYCRR 603.4(e)(1)(i) (willful failure to cooperate with committee's investigation).
Ronald Herbert Fanta 225 AD2d 69	Suspended 3 years, effective 12/4/96	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 6-101(A)(2)(inadequate representation); DR 6-101(A)(3)(neglect); DR 9-102(C)(3) (failure to maintain records).
LeRoi L. Gill 647 NYS2d 765	Suspended 3 years, effective 1/4/96	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law); DR 6-101(A)(2), (3)(inadequate preparation, neglect).
Joel M. Wachs 223 AD2d 23	Censured	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law); DR 6-101(A)(3) (neglect).
Daniel N. Hoffman 225 AD2d 71	Suspended 30 days, effective 11/8/96	22 NYCRR 603.3 (reciprocal discipline [California]); DR 2-110(A)(2)(improper withdrawal); DR 2-110(A)(3) (failure to return unearned fee); DR 6-101(A)(1)(handling matter incompetently); DR 6-101(A)(3)(neglect); DR 7-101(A)(3)(failure to communicate with client); DR 9-102(C)(3)(failure to render appropriate accounts); 22 NYCRR 603.4(e)(1)(i) (willful failure to cooperate with committee's investigation).
Harris J. Rakov 225 AD2d 34	Interim suspension	Judiciary Law §90(4)(d) (serious crime - Federal felony conviction, filing false tax returns [26 USC §7201]).

David Schick 225 AD2d 55	Interim suspension	22 NYCRR 603.4(e)(1)(iii) (uncontested evidence of professional misconduct).
David N. Brockett 225 AD2d 96	Disbarred	DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(8) (conduct adversely reflecting on fitness to practice law).
Robert Sylvor 225 AD2d 87	Censured	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law).
Valentine N. Horoshko 225 AD2d 99	Disbarred	22 NYCRR 603.4(g)(failure to appear or apply in writing to committee or court for hearing or reinstatement within six months of interim suspension date).
Dong-In Kim 648 NYS2d 582	Disbarred	22 NYCRR 603.4(g)(failure to appear or apply in writing to committee or court for hearing or reinstatement within six months of interim suspension date).
Austin M. Collins 1996 NY App Div Lexis 11426	Disbarred, effective 12/16/96	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 1-102(A)(8) (conduct adversely reflecting on fitness to practice law); DR 6-101(A)(3)(neglect); DR 9- 102(C)(4)(failure to return file to client).
Andrew I. Fogler 649 NYS2d 441	Disbarred	Judiciary Law §90(4)(b) (felo- ny conviction - bank fraud [18 USC §1344][Penal Law §155.40, grand larceny, 2d degree; Penal Law §190.65, scheme to defraud, 1st degree]).
Lawrence V. Kelly 649 NYS2d 439	Stricken on consent	22 NYCRR 603.11 (resignation of attorney under investi- gation).

Barry A. Witchell 649 NYS2d 440	Stricken on consent	22 NYCRR 603.11 (resignation of attorney under investigation).
Leo N. Hirsch 650 NYS2d 148	Disbarred, effective 12/26/96	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law); DR 2-110(A)(3)(failure to return unearned portion of fee); DR 9-102(D)(1), (2), (3), (4), (5), (8) (failure to maintain client file and bookkeeping records).
Michael E. Rosoff 650 NYS2d 149	Indefinite suspension	Judiciary Law §90(2-a), (b) (child support arrears [Family Court Act §458-b]).
Darryl M. Semple 650 NYS2d 146	Suspended 2 years, effective 12/26/96	DR 6-101(A)(3)(neglect); DR 9-102(A)(permitting partners to commingle client funds); DR 9-102(C)(3)(failure to render appropriate accounts); DR 9-102(C)(4)(failure to pay funds to client); DR 9-102(D)(1), (2), (4), (6), (8) (failure to maintain bookkeeping records); DR 9-102(G) (failure to make appropriate arrangements on dissolution of firm); DR 9-102(H)(failure to make firm records available to committee).
Linda M. Howard 650 NYS2d 172	Interim suspension	22 NYCRR 603.4(e)(1)(i) (willful failure to cooperate with committee's investigation).
Andrew C. Levine 650 NYS2d 653	Suspended 1 year, effective retroactively to 2/9/95	Judiciary Law §90(4)(d) (serious crime - Federal felony conviction, assisting in preparation of false income tax return (26 USC §7206[2])).
Michael F. Mulrow 650 NYS2d 668	Interim suspension	22 NYCRR 603.4(e)(1)(ii), (iii)(substantial admissions under oath of professional misconduct, uncontested evidence of professional misconduct).
Steven N. Weisman 650 NYS2d 652	Stricken on consent	22 NYCRR 603.11 (resignation of attorney under investigation).

Quentin C. Crommelin 650 NYS2d 681	Disbarred	Judiciary Law §90(4)(b) (felony conviction- aggravated sexual battery [Virginia Code §18.2-68.3] [Penal Law §130.65, sexual abuse 1st degree] (class D felony))).
Robert S. Wolmer 650 NYS2d 679	Censured	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation).
Donald V. Pohlmeier 650 NYS2d 682	Indefinite suspension	22 NYCRR 603.3 (reciprocal discipline [New Jersey]); 22 NYCRR 603.4(e)(misconduct immediately threatening the public interest); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 9-102(B)(1)(failure to maintain client funds in attorney trust account).
Stanley H. Muss 1996 NY App Div Lexis 12424	Disbarred	Judiciary Law §90(4)(b) (felony conviction - grand larceny 2d degree, 2 counts [Penal Law §155.40], class C felonies).
Bruce Cutler 1996 NY App Div Lexis 12425	Suspended 6 months, effective 1/13/97 (with credit for 3 months)	Judiciary Law §90(4)(d) (serious crime - criminal contempt of court [18 USC §401(3)]).
Richard M. Glazer 1996 NY App Div Lexis 12503	Stricken on consent	22 NYCRR 603.11 (resignation of attorney under investigation).
David M. Lee 1996 NY App Div Lexis 12504	Suspended 2 years, effective date stayed pending further proceedings	DR 1-102(A)(3)(illegal conduct involving moral turpitude); DR 1-102(A)(5) (conduct prejudicial to administration of justice); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice law); DR 1-104(A)(2) (failure to take remedial action in supervisory capacity); DR 7-102(A)(5)(false statement of law or fact).
Iman A. Abdallah 1996 NY App Div Lexis 12549	Interim suspension	22 NYCRR 603.4(e)(1)(i), (iv) (willful failure to cooperate with committee's investigation, willful failure or refusal to pay money owed to client).

SECOND DEPARTMENT

(Second and Eleventh Judicial Districts)

Kenneth P. Lowenthal 196 AD2d 82	Resigned	Conversion.
Nora Z. Ramos 218 AD2d 353	Suspended 5 years	Conversion; failure to safeguard client funds.
Leonard Shoob	Interim suspension	Failure to cooperate.
Joseph Amaru 218 AD2d 382	Resigned	Misappropriated estate funds.
Salvatore DiMisa 218 AD2d 441	Disbarred	Conversion; failure to safeguard client funds.
Setiri Spiro Sotiriou 218 AD2d 403	Censured	Commingling.
Stephen LiMandri	Inerim suspension	Failure to cooperate.
Alfred W. DiGrazia 218 AD2d 479	Censured	Failure to cooperate.
Barry D. Pincus 219 AD2d 82	Resigned	Conversion.
John L Garzon 219 AD2d 211	Censured	Commingling; conversion; failure to maintain/produce records.
Richard A. Shepard 219 AD2d 153	Suspended 1 year	Neglect; failure to communicate; misrepresentation; failure to cooperate.
Robert Fassberg 219 AD2d 332	Censured	Serious crime conviction.
Anthony J. Figoni 220 AD2d 163	Suspended 1 year	Felony conviction.
Lawrence Bluth 220 AD2d 176	Resigned	Commingling; failure to safegaurd client funds; misappropriation of client funds.
Val A. Henry 221 AD2d 32	Disbarred	Conversion; commingling.
Joseph A. Williams 221 AD2d 4	Suspended 1 year	Failure to cooperate.
Marc Kaplan 220 AD2d 197	Suspended 1 year	Conflict of interest; filed false retainer statements.

Michael Entes 222 AD2d 62	Disbarred	Neglect; failure to safeguard client funds; conversion; failure to maintain bookkeeping records.
Barbara Crawford 222 AD2d 142	Censured	Neglect; failure to take appropriate action to advance client's case.
Cheryl Edwards 222 AD2d 174	Disbarred	Conversion; failure to safeguard escrow funds; commingling.
Kenneth Gribetz 223 AD2d 149	Disbarred	Serious crime conviction.
Steve F.C. Apollon 222 AD2d 198	Resigned	Submitted fraudulent vouchers to Assigned Counsel panel.
Anis A. Siddiqi	Interim suspension	Conversion; commingling; failure to maintain escrow records.
Jeffrey Kaufman 222 AD2d 107	Suspended 2 years	Neglect; misrepresentation; failure to communicate; failure to file necessary documents.
Glyne Leon Harper 223 AD2d 200	Censured	Failure to disclose material fact on bar application.
William A. Calvo, III 223 AD2d 242	Disbarred	Reciprocal discipline.
Robert M. Jasinski 223 AD2d 239	Disbarred	Reciprocal discipline.
Simeon Greenaway 222 AD2d 98	Disbarred	Failure to cooperate.
Ira Friedman 223 AD2d 218	Suspended 1 year	Neglect; failure to communicate with clients; failure to appear in court; failure to maintain proper registration with OCA.
Louis A. Egnasko 223 AD2d 317	Resigned	Conversion.
Shannon McClarn	Interim suspension	Failure to cooperate.
Anthony F.X. Finn 223 AD2d 333	Disbarred	Conflict of interest; conversion.
Vincent D'Ambrosio 225 AD2d 90	Resigned	Conversion.

Wallace Sturm 225 AD2d 108	Criminal contempt	Violation of disbarment order.
Paul Plust 225 AD2d 185	Disbarred	Felony conviction.
Jopseh P. Amato 225 AD2d 173	Disbarred	Felony conviction.
Joseph Greene 649 NYS2d 937	Criminal contempt	Violation of disbarment order.
John H. Kyreakedes 650 NYS2d 280	Disbarred	Failure to cooperate.
Peter C. Roth	Indefinite suspension	Incapacity to practice law.
Marian S. Marable	Interim suspension	Commingling; conversion; failure to safeguard escrow funds.
Lawrence Lieberman	Interim suspension	Conversion; commingling.
Barry Silber 652 NYS2d 43	Disbarred	Reciprocal discipline.
Emilia A. Tems 651 NYS2d 89	Suspended 1 year	Failure to cooperate.
Prabir Dhar (legal consultant)	Interim suspension	Failure to cooperate.
Dante A. Anello 651 NYS2d 130	Disbarred	Failure to cooperate.
Shannon McClam 650 NYS2d 810	Disbarred	Failure to cooperate.

(Ninth Judicial District)

Eugene J. Adams 224 AD2d 4	Suspended 3 years	DR 1-102(A)(4), (8)(conduct involving dishonesty, fraud, deceit or misrepresentation; conduct adversely reflecting on fitness to practice).
Eugene J. Adams	Motion to extend date of suspension granted solely to extent that effective date of suspension extended to 11/19/96 for designated matter.	

Eugene J. Adams ___NY2d___	Motion for leave to appeal denied.	
Leslie R. Barth 219 AD2d 199	Disbarred	22 NYCRR 691.3(b) (reciprocal discipline based on Connecticut disbarment [conviction of wire and mail fraud - 18 USC §§ 1343, 1341]).
Paul A. Bartolotta	Motion for reinstatement denied.	
Vincent Cerbone 224 AD2d 153	Suspended 2 years	DR 1-102(A)(4),(8)(conduct involving dishonesty, fraud, deceit or misrepresentation; overreaching; conduct adversely reflecting on fitness to practice); DR 5-104(A), DR 5-101(A)(impermissible conflicts of interest, breach of fiduciary duty, self-dealing); SPCA §§1805, 2113 (advancing fees/commissions); DR 9-102(B)(1), EPTL §11-1 (failure to maintain separate estate account).
John J. Connolly 650 NYS2d 263	Disbarred	DR 6-101(A)(3)(neglect); DR 2-110(A)(2)(improper withdrawal); DR 1-102(A)(4),(5),(8)(conduct involving dishonesty, fraud, deceit or misrepresentation; conduct prejudicial to administration of justice; conduct adversely reflecting on fitness to practice); DR 9-102(B)(1), (D),(H)(failure to maintain and produce bookkeeping records).
William J. Connolly 650 NYS2d 275	Suspended 1 year	DR 1-102(A)(4),(5),(8) (conduct involving dishonesty, fraud, deceit or misrepresentation; conduct prejudicial to administration of justice; conduct adversely reflecting on fitness to practice); DR 9-102(H) (failure to produce bookkeeping records).
R. Emmet Delany 87 NY2d 508	Disbarment reversed; interim suspension imposed.	Judiciary Law §90(4)(d).

Paul J. Drager 221 AD2d 64	Censured	DR 6-101(A)(3)(neglect); DR 1-102(A)(4),(5),(8)(conduct involving dishonesty, fraud, deceit or misrepresentation; conduct prejudicial to administration of justice; conduct adversely reflecting on fitness to practice).
Edward J. Filipowicz	Motion for reinstatement held in abeyance, referred to Committee on Character and Fitness.	
Andrew J. Fiore 651 NYS2d 128	Disbarred (Resigned)	Failure to safeguard funds, negative balances, cash withdrawals, lending funds through escrow account, checks payable to cash.
Daniel Michael Foley	Interim Suspension	Disciplinary proceeding authorized.
Daniel Michael Foley 224 AD2d 1	Disbarred	DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice, conversion); DR 9-102(A), (D)(1),(2), (E) (commingling, breach of fiduciary duty, failure to maintain/produce bookkeeping records, cash withdrawals); Judiciary Law §468-a, 22 NYCRR 118.1 (failure to properly maintain OCA registration).
Richard L. Giampa	Motion for reinstatement held in abeyance, referred to Committee on Character and Fitness.	
Richard L. Giampa	Reinstated effective 12/3/96.	
Rita K. Gilbert 220 AD2d 187	Censured	DR 1-102(A)(4),(5),(8) (conduct involving dishonesty, fraud, deceit or misrepresentation; conduct prejudicial to administration of justice; conduct adversely reflecting on fitness to practice).

Roger J. Gofton, Jr. 223 AD2d 299	Disbarred (Resigned)	DR 1-102(A)(4),(5),(8) (conduct involving dishonesty, fraud, deceit or misrepresentation; conduct prejudicial to administration of justice; conduct adversely reflecting on fitness to practice); DR 2-102(B) (practicing under misleading name); DR 5-103(B) (advancing financial assistance to a client); DR 5-104(A)(impermissible conflict); DR 9-102(A),(B) (commingling, conversion, negative balances); DR 9-102(C),(D)(failure to properly maintain bookkeeping records).
Marc E. Grossman 223 AD2d 85	Disbarred (Resigned)	DR 1-102(A)(5),(8)(conduct prejudicial to administration of justice; failure to cooperate; conduct adversely reflecting on fitness to practice; conversion; failure to account); DR 9-102(D)(1),(E) (failure to maintain bookkeeping records; checks payable to cash).
Benjamin H. Hersh	Motion for reinstatement held in abeyance, referred to Committee on Character and Fitness.	
Benjamin H. Hersh	Reinstated effective 5/10/96.	
Tracy E. Hoff	Indefinite suspension	22 NYCRR 691.13(b) (incapacity).
Tracy E. Hoff	Motion to vacate suspension denied.	
Howard C. Katz	Motion for reinstatement denied.	
Charles T. Koop 219 AD2d 359	Censured	DR1-102(A)(4),(8)(conduct involving dishonesty, fraud, deceit or misrepresentation; conduct adversely reflecting on fitness to practice); DR 6-101(A)(3)(neglect); DR 7-101(A)(2)(failure to carry out contract of employment).

Leon Kornfeld 219 AD2d 307	Suspended 2 years	Judiciary Law §90(4)(d) (serious crime - petit larceny [Penal Law §155.25]); DR 1- 102(A)(8)(conduct adversely reflecting on fitness to practice).
Dennis Beirne McCormick	Default vacated, disbarment held in abeyance pending completion of disciplinary proceeding.	
Craig A. McGannon	Interim suspension	Disciplinary proceeding authorized.
Craig A. McGannon 222 AD2d 69	Disbarred	DR 1-102(A)(3),(5),(8) (conduct involving moral turpitude; conduct prejudicial to administration of justice; conduct adversely reflecting on fitness to practice; failure to cooperate); Judiciary Law §468-a, 22 NYCRR 118.1 (failure to register).
Robert P. McGrath	Motion for reinstatement denied.	
Peter B. Nickles 218 AD2d 491	Disbarred (Resigned)	Conversion, commingling, misrepresentation, neglect.
Albert P. Pacione, Jr.	Motion for reinstatement denied.	
Raymond G. Perez	Interim suspension	Disciplinary proceeding authorized.
Raymond G. Perez 223 AD2d 330	Disbarred	DR 1-102(A)(4),(5),(8) (conduct involving dishonesty, fraud, deceit or misrepresentation; conduct prejudicial to administration of justice; conduct adversely reflecting on fitness to practice; failure to cooperate); DR 9-102(B)(1), (C)(3),(C)(4) (failure to promptly pay or deliver funds).

Howard Pitt 651 NYS2d 111	Disbarred	Judiciary Law §90(4)(d) (serious crime - criminal contempt, 2d degree [Penal Law §210.50]); DR 1-102(A)(5),(8)(conduct prejudicial to administration of justice; conduct adversely reflecting on fitness to practice) DR 7-106(A)(disregarding ruling of a tribunal).
Michael P. Sanchirico	Motion for reinstatement held in abeyance, referred to Committee on Character and Fitness.	
Rolf H.G. Sandvoss 223 AD2d 1	Suspended 2 years	DR 9-102(A),(B)(2) (commingling, failing to properly designate special account); DR 7-102(A)(1),(2) (filing lawsuit merely to harass; advancing claim or defense unwarranted under existing law).
Rolf H.G. Sandvoss	Motion for leave to appeal denied.	
Doris L. Sassower 87 NY2d 969	Motion to dismiss appeal granted.	
Doris L. Sassower 88 NY2d 914	Motion for court to recuse itself, for reargument, and for leave to appeal denied.	
John T. Seaman 218 AD2d 183	Disbarred	Judiciary Law §90(4)(felony conviction - sodomy [Penal Law §30.40]).
Robert H. Sedrish 223 AD2d 342	Disbarred (Resigned)	Conduct prejudicial to administration of justice; mishandling clients' funds; failure to maintain duly constituted escrow account; failure to cooperate.
Daniel Judd Shapiro	Interim suspension	Disciplinary proceeding authorized.
Joseph P. Skripek 223 AD2d 348	Disbarred (resigned)	Contempt adjudication.
Joseph Dominic Sloboda	Interim suspension	Disciplinary proceeding authorized.

William R. Updegraff, Jr.	Indefinite suspension	Judiciary Law §90(2-a) (failure to produce proof of payment of child support arrears).
Dominic J. Valentine 224 Ad2d 70	Censured	DR 1-102(A)(5),(8)(conduct prejudicial to administration of justice; conduct adversely reflecting on fitness to practice); conviction of VTL §§509.1, 1192(4), Penal Law §220-45.
I. Peter Venezia 219 Ad2d 310	Disbarred	DR 1-102(A)(4),(5),(8) (conduct involving dishonesty, fraud, deceit or misrepresentation; conduct prejudicial to administration of justice; conduct adversely reflecting on fitness to practice; conversion; failure to maintain a duly constituted escrow account; failure to file with OCA; failure to deposit funds into escrow; commingling; false and misleading testimony); DR 9- 102(D)(1)(failure to maintain and produce bookkeeping records).

(Tenth Judicial District)

Alan R. Aledort 209 AD2d 77	Motion for leave to appeal to Court of Appeals abandoned.	Judiciary Law §90.
Matthew P. Brady 225 AD2d 79	Resigned	22 NYCRR 691.9, DR 9-102(C) (4)(conversion).
Sydney J. Chase 85 NY2d 809	Reinstatement denied.	
Raymond E. Claybrook 82 AD2d 447	Discontinued matter (deceased attorney).	
James G. Fitzsimmons	Interim suspension	Judiciary Law §468-a (failure to re-register).
David J. Gold 220 AD2d 159	Censured	DR 1-102(A)(5),(8)(fitness to practice).
Richard Murray Gold 171 AD2d 182	Reinstated	

Stephen G. Gorray 651 NYS2d 555	Censured	DR 9-102 (failure to maintain records, closing statements).
Jeffrey Granat 219 AD2d 255	Motion to reargue regarding one-year suspension denied.	
Milan K. Gregory	Interim suspension	Failure to cooperate.
Andrew R. Holman	Motion for conservator granted.	
Bernard F. Horak 224 AD2d 47	Disbarred	DR 9-102(C)(4), DR 1-102(A)(5)(misappropriation of client funds); DR 1-102(A)(3) (conduct prejudicial to administration of justice).
David B. Jacobs	Reinstatement denied	
James J. Kelleher 218 AD2d 137	Suspended 2 years	Judiciary Law §90(4)(f) (convicted of conspiracy to defraud [18 USC §371]).
David M. Kornfeld	Resigned	DR 9-102(C)(4)(conversion of client funds).
William Francis Mackey, Jr. 61 AD2d 262	Reinstated	
Frank X. Marino 220 AD2d 145	Disbarred	DR 9-102(C)(4)(conversion of client funds).
Sheldon I. Matzkin 218 AD2d 385	Resigned	22 NYCRR 691.9 (Federal felony conviction - conspiracy to defraud [18 USC §371]).
Jules Martin Mencher	Interim suspension	
Louis J. Milone, Jr. 197 AD2d 24	Motion for reargument granted.	
Thomas J. Naughton 207 AD2d 68	Reinstated	
Peter R. Newman 222 AD2d 25	Resigned	22 NYCRR 691.9
David Golia Paladin 1996 U.S. App. Lexis 32731	Defendant's motion for summary judgment granted.	
Sanford Pollack 219 AD2d 302	Disbarred (resigned)	22 NYCRR 691.9.

Robert A. Regenbogen 218 AD2d 190	Disbarred	22 NYCRR 6914(l)(1)(i) (failure to cooperate); DR 1-102(A)(5), (8); DR 1-102(A)(1),(4),(5),(8) (creating and transmitting fabricated bankruptcy orders; giving false and misleading testimony).
Mortin Rivkind 135 AD2d 768	Reinstated	
Joseph Stephen Ruocco 651 NYS2d 158	Resigned	DR 9-102(C)(4)(conversion of funds); failure to cooperate.
Claire A. Ryan	Interim suspension	
Martin Lee Sandberg 651 NYS2d 113	Resigned	22 NYCRR 691.9; DR 9-102(C)(4)(conversion of funds).
Sheldon Sanders 56 NY2d 51	Reinstated	
Martin H. Scher 224 AD2d 132	Censured	DR 1-102(A)(8); DR 7-102(A)(7).
Harold Hans Seikel 224 AD2d 132	Disbarred	DR 1-102(A)(4),(5),(8), DR 9-102 (commingling funds, conversion, false and misleading information); DR 6-101(A)(neglect).
Irwin E. Simenowitz 223 AD2d 252	Disbarred	DR 1-102(A)(8)(fitness to practice law).
Mark Alan Spiritis 187 AD2d 240	Reinstated	
Glenn O. Vickers 651 NYS2d 157	Suspended 2 years	Judiciary Law §90 (misdemeanor conviction - Penal Law §130.55 [Sexual abuse, 3rd degree]).
Wayne L. Weinberger 651 NYS2d 135	Suspended 2 years	DR 1-102(A)(5),(8), DR 6-101(A)(3) (failure to cooperate, neglect).
Donald Weinreb	Reinstated	
Robert Whiteman	Censured	DR 9-102 (failure to maintain escrow records).

THIRD DEPARTMENT

Joseph F. Bollettieri 3/8/96	Suspended 6 months	DR 1-102(A)(4),(5),(8), DR 9-102(B), (C) (failure to honor escrow agreement); DR 1-102(A)(4),(5),(8), DR 9-102(B), (C) (failure to account for funds coming into his possession); DR 1-102(A)(5), DR 9-102(B)(failure to maintain an escrow account); DR 1-102(A)(4),(5),(8), DR 9-102(B)(converting and/or commingling funds of client and third party); DR 1-102(A)(5), (8) (failure to comply with registration requirements).
Patrick H. Collins 10/11/96	Suspended 6 months	DR 1-102(A)(5),(8)(failure to file registration statement and pay registration fee); DR 1-102(A)(4),(5), DR 5-105, DR 6-101(A)(3), DR 7-101(A) (conflict of interest, neglect and failure to zealously represent interests of client; DR 1-102(A)(4),(5),(8) (making false and misleading representations during course of investigation); DR 1-102(A)(5),(8)(failure to cooperate with committee).
Michael F. Daly 10/29/96	Disbarred	DR 1-102(A)(4),(5),(8) (affixing client's signature on checks received on behalf of estate); DR 1-102(A)(4),(5), (8), DR 9-102(A) (commingling); (DR 1-102(A)(4),(5),(8), DR 9-102 (conversion of client funds); DR 1-102(A)(5), DR 9-102(B) (failure to maintain an escrow account); DR 1-102(A)(5), (8) (failure to comply with court rule regarding stenographic costs); DR 1-102(A)(5),(8) (failure to cooperate with committee).
Michael F. Daly 11/4/96	Disbarment stayed	Order of disbarment stayed to permit presentation in mitigation.

Michael F. Daly 12/4/96	Disbarred	Disbarment order restored.
John L. Desmond 10/30/96	Disbarred	DR 1-102(A)(4),(5),(8), DR 9-102(conversion of client funds); DR 1-102(A)(5),(8), DR 5-101(A), DR 5-104(A) (engaging in business relationship with clients having different interests without full disclosure); DR 1-102(A)(5), DR 2-106 (charging excessive fee); DR 1-102(A)(5),(8)(failure to cooperate with committee).
Martin A. Gendal 11/13/96	Suspended 3 months	22 NYCRR 806.19 (reciprocal discipline based on New Jersey suspension; directed to comply with attorney registration requirements).
Carl E. Holsberger 223 AD2d 920 1/22/96	Suspended 3 years	DR 6-101(A)(3) (neglect); DR 1-102(A)(4)(misleading and deceiving clients); DR 1-102(A)(5), DR 5-105 (conflict of interest); DR 9-102(E)(issuing check payable to cash); DR 9-102(B)(failure to properly title escrow account); DR 1-102(A)(5),(8), DR 9-102(C), (D)(failure to maintain accurate, adequate and complete books and records of client funds); DR 5-103(B) (advancing financial assistance to client); DR 1-102(A)(5),(8)(failure to cooperate with committee).
Carl E. Holsberger 6/21/96	Suspended additional 6 months	DR 6-101(A)(3)(neglect); DR 1-102(A)(4),(5),(8) (dishonesty, misleading and deceiving client); DR 5-103(B)(advancing financial assistance to client).
Carl E. Holsberger 8/13/96	Disbarred	22 NYCRR 806.8 (resignation accepted during investigation; disbarment order entered).
David A. Jones 4/11/96	Disbarred	22 NYCRR 806.19 (reciprocal discipline based on Pennsylvania disbarment. Motion to reconsider order of suspension denied).

Alan P. Joseph 223 AD2d 999 1/30/96	Suspended 2 years; suspension stayed.	DR 9-102(A)(commingling personal funds with client funds); DR 1-102(A)(5),(8), DR 9-102 (converting clients' funds); DR 1-102(A)(5),(8), DR 9-102(C), (D)(failure to maintain complete records for escrow accounts); DR 1-102(A)(5),(8), DR 9-102 (issuing checks against insufficient funds); DR 9-102(C)(3) (failure to account to client for funds received); DR 1-102(A)(5)(failure to respond to inquiries from clients).
David W. Little 2/26/96	Disbarred	Judiciary Law §90(4)(a) (felony conviction of grand larceny, 2d degree [Penal Law §155.40]).
Robert A. MacKinnon 223 AD2d 807 1/4/96	Censured	DR 1-102(A)(5),(8), DR 9-102 (conversion, commingling); DR 1-102(A)(5), (8), DR 9-102(C), (D) (failure to maintain adequate books and records of clients' funds); DR 5-104(A)(failure to advise client to consult independent counsel or obtain other disinterested advice before entering into business transaction with attorney).
M. Suzanne McMahon 8/30/96	Interim suspension	22 NYCRR 806.4(b)(failure to comply with subpoena duces tecum).
M. Suzanne McMahon 9/23/96	Interim suspension vacated	Suspension for failure to comply with subpoena duces tecum vacated upon substantial compliance.
Eugene D. Micci 3/13/96	Suspended 3 years from 5/11/95	Judiciary Law §90(4)(d) (serious crime - making false statement to federally insured financial institution [18 USC §1014]). Suspension to continue for period of time coterminous with federal probation sentence.
Richard M. Moran 2/9/96	Disbarred	Resignation accepted. Order of restitution to be submitted.
Richard M. Moran 8/5/96	Restitution order	Order of restitution entered.

Norman J. Mordkofsky 10/25/96	Suspended 6 months	DR 1-102(A)(4),(5),(8), DR 7-102(A)(5), DR 7-106(C), DR 8-102(B)(false and unsupported allegations against a judge, undignified and discourteous conduct degrading to a tribunal); DR 1-102(A)(5),(8) (taking legal action in individual capacity despite being represented by counsel); DR 1-102(A)(4),(5),(8), DR 7-102(A)(1),(2),(5), DR 8-102(B)(false and unsupported allegations in a court proceeding); DR 1-102(A)(4), (5), (8), DR 8-102(B)(making false and unsupported allegations during investigation by committee); DR 1-102(A)(4),(5),(8), DR 7-102(A)(5), DR 8-102(B)(false and unsupported allegations against judges).
Charles F. Petrolawicz 223 AD2d 1000 1/31/96	Censured	DR 6-101(A)(3)(neglect); DR 1-102(A)(4),(5),(8) (attempting to mislead and deceive clients); DR 1-102(A) (5), (8)(failing to maintain client communications); DR 2-106 (improper legal fee in unemployment matter); DR 1-102(A)(5),(8)(failing to notify Office of Court Administration of address and telephone number change).
Chairles F. Petrolawicz 6/28/96	Suspended 6 months	DR 6-101(A)(3)(neglect); DR 1-102(A)(5),(8)(failing to maintain client communications); DR 1-102 (A) (5),(8) (failing to cooperate with committee).
Richard F. Place 3/29/96	Disbarred	Judiciary Law §90(4)(a) (felony conviction - grand larceny, 3d degree [Penal Law §155.35]).
John B. Poersch 3/29/96	Disbarred	Resignation accepted. Order of restitution to be submitted.
Steven E. Pollan 5/1/96	Suspended 6 months	22 NYCRR 806.19 (reciprocal discipline based on New Jersey suspension).

Andrew M. Puritz 5/1/96	Reinstated	
Samuel W. Roberts 2/8/96	Interim suspension	22 NYCRR 806.4(b)(failure to comply with subpoena duces tecum).
Michael J. Sexton 9/23/96	Suspended 6 months	DR 6-101(A)(3)(neglect); DR 1-102(A)(5),(8)(failure to respond to communications from clients); 22 NYCRR 1400.3(9)(failure to send itemized bills to clients every 60 days); DR 9-102(C)(failure to promptly refund filing fees and return files to clients); DR 1-102(A)(5),(8)(failure to cooperate with committee and county bar).
Mary Ann Smith 3/4/96	Suspended additional 2 years	DR 1-102(A)(4),(8) (fraudulent, misleading conduct by affixing her employer's signature on law office business account checks); DR 1-102(A)(4),(5), (8) (conversion of employer's funds); DR 1-102(A)(4),(5),(8) (fraudulent, misleading conduct by using pre-signed checks to convert funds from her employer); DR 1-102(A) (5),(8) (failure to cooperate with committee).
Morris D. Strauss 6/7/96	Censured	DR 1-102(A)(5),(8), DR 9-102 (conversion of clients' funds); DR 1-102(A)(5),(8),DR 9-102 (C)(failure to promptly notify clients of the receipt of funds or promptly remit funds to clients and account for same); DR 1-102(A)(5),(8), DR 9-102(C),(D)(failure to maintain adequate and complete books and records of clients' funds).
Joseph P. Van De Loo 3/8/96	Interim suspension	22 NYCRR 806.4(f) (suspension pending consideration of disciplinary charges).

FOURTH DEPARTMENT

(Fifth Judicial District)

Eric T. Gerber	Interim suspension	Judiciary Law §90(4) (Federal misdemeanor - 18 USC §1504).
John T. Papworth	Reinstated	
Richard A. Gwynn, Jr. 642 NYS2d 838	Disbarred (restitution ordered)	22 NYCRR 1022.25(a) (Resigned pending disciplinary charges).
David R. Monroe 220 AD2d 66	Disbarred	22 NYCRR 1400.2, 1400.3, DR 1-102(A)(4),(8)(conduct involving dishonesty, fraud, deceit or misrepresentation; conduct adversely reflecting on fitness to practice); DR 2- 110(A)(2),(3)(withdrawing from representation without taking steps to the extent reasonably practicable to avoid foreseeable prejudice to client's rights; failing to promptly refund unearned legal fees); DR 4-101(B)(1) (revealing confidence or secret of client); DR 6-101(A)(3) (neglect); DR 7-101(A)(2),(3) (failing to carry out contract of employment; prejudicing or damaging client during course of professional relationship); DR 9-102(A)(commingling client funds).
Eric T. Gerber 221 AD2d 71	Suspended until one-year probation terminated.	Judiciary Law §90(4) (Federal misdemeanor - 18 USC §1504).
William G. Moench, Jr. 222 AD2d 31	Censured	DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice); DR 5-104(A) (entering into business transaction with client with differing interests without client consent).

William J. Powers, III 221 AD2d 126	Disbarred	22 NYCRR 1022.26, DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice); DR 3-101(B) (engaging in unauthorized practice of law); DR 6-101(A)(3)(neglect); DR 9-102(B)(failing to preserve and maintain client funds in a separate bank account).
William F. Baker	Reinstated	
Eric T. Gerber	Reinstated	
Jack W. Adelman 648 NYS2d 385	Indefinite suspension	22 NYCRR 1022.23(b) (incapacitation).
Daniel E. Barrett	Reinstated	
Joseph F. Muto	Reinstated	
Harry S. Pack 652 NYS2d 574	Interim suspension	Judiciary Law §90(4).
H. Thomas Swartz 652 NYS2d 574	Interim suspension	Judiciary Law §90(4).

(Seventh Judicial District)

Nicholas Capobianco 219 AD2d 179	Suspended 1 year	DR 1-102(A)(5),(8)(conduct prejudicial to administration of justice; conduct adversely reflecting on fitness to practice); DR 9-102(A),(B), (C)(failing to maintain and preserve client funds; failing to keep records related to client funds).
Jefferson T. Lalik 642 NYS2d 838	Disbarred	Judiciary Law §90(4) (Felony conviction - Penal Law §§155.40[1], 170.10[1]).

Romanas A. Sedlickas 222 AD2d 6	Suspended 1 year (restitution ordered).	Judiciary Law §468-a, 22 NYCRR 118.1 (failure to register as an attorney and pay required fee); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 2-110(A)(2),(3)(withdrawing from employment without taking steps to the extent reasonably practicable to avoid foreseeable prejudice to the rights of clients and failing to refund fees paid in advance that have not been earned); DR 6-101(A)(3) (neglect).
W. Andrew Clawson 646 NYS2d 472	Disbarred (restitution ordered)	22 NYCRR 1022.25(a) (resigned under investigation).
Wayne I. Ohl 223 AD2d 307	Censured	DR 9-102(A),(B),(C) (commingling and converting client funds; failing to maintain and preserve client funds; failing to keep records relating to client funds entrusted to him).
Alban J. Reichert 223 AD2d 107	Suspended 2 years (restitution ordered)	DR 1-102(A)(3),(4),(8) (conduct involving moral turpitude; conduct involving dishonesty, fraud, deceit or misrepresentation; conduct adversely reflecting on fitness to practice); DR 5-104(A) (entering into business transaction with client without consent or full disclosure); DR 5-105(A),(B) (representing clients with differing interests); DR 6-101(A)(3)(neglect); DR 7-101(A)(3)(prejudicing or damaging client during course of professional relationship); DR 9-102(B),(C)(failing to maintain client funds and property; converting client funds and property; failing to maintain records relating to client funds and property).
Richard G. Baxter 647 NYS2d 592	Disbarred	Judiciary Law §90(4)(felony conviction - VTL §1192[3]).

Joseph R. Amisano 649 NYS2d 601	Suspended 1 year	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); former DR 1-102(A)(5) (conduct adversely reflecting on fitness to practice); DR 6-101(A)(3)(neglect); DR 9-102 (A),(B),(C),(E)(failing to maintain and preserve client funds; commingling and converting client funds; failing to maintain records relating to client funds; issuing trust account checks payable to cash).
Harley D. Axelrod 649 NYS2d 273	Censured	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(5)(conduct prejudicial to administration of justice); DR 6-101(A)(3) (neglect).
James J. Shapiro ____NYS2d____	Censured	DR 2-101(A)(disseminating public communication containing misleading statements).
Alban J. Reichert 649 NYS2d 890	Disbarred (restitution ordered)	22 NYCRR 1022.325 (a) (resigned pending disciplinary charges).

(Eighth Judicial District)

Randall J. Doro	Indefinite suspension	Default - failure to respond to petition.
Alan R. Golkin	Interim suspension	22 NYCRR 1022.19(f)(2).
Vincent Agnello 219 AD2d 193	Censured	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice); DR 6-101(A)(3) (neglect); DR 7-101(A)(2) (failing to carry out contract of employment).

W. Joseph Embser 219 AD2d 156	Disbarred	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice); DR 6-101(A)(3) (neglect).
Douglas W. Rhodes 219 AD2d 94	Censured	DR 9-102(B)(failing to maintain trust or business account); DR 9-102(C)(4) (failing to remit fund due client until client filed grievance).
Michael S. Gawel 219 AD2d 338	Disbarred	Judiciary Law §90(4)(Federal felony conviction - 18 USC §1956, 26 USC §7206).
Philip R. Rothschild 220 AD2d 77	Suspended 6 months	DR 1-102(A)(4)(conduct involving dishonesty); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice); DR 9-102(B)(1) (failing to maintain, preserve, and identify client funds).
Don Bergevin 222 AD2d 53	Suspended 1 year	DR 1-102(A)(4),(8)(conduct involving dishonesty, fraud, deceit or misrepresentation; conduct adversely reflecting on fitness to practice law); DR 6-101(A)(3)(neglect); DR 7-101(A)(3)(prejudicing or damaging client during course of professional relationship); DR 9-102(A)(8)(commingling); DR 9-102(B)(1)(failing to maintain, preserve and identify client funds); DR 9-102(C)(4)(failing to pay promptly to client, as requested by client, funds client was entitled to receive).
James P. Cotter 643 NYS2d 438	Disbarred (restitution ordered)	22 NYCRR 1022.25(a) (resigned under investigation).

John G. Siracusa 222 AD2d 15	Censured	DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice); DR 9-102(A) (commingling); DR 9-102(B)(1)(failing to preserve, maintain and identify client funds); DR 9-102(D)(1) (failing to maintain records indicating purpose of withdrawals from trust account); DR 9-102(E)(issuing checks payable to cash).
Alan R. Golkin 223 AD2d 50	Disbarred	DR 1-102(A)(4),(5),(8) (conduct involving dishonesty, fraud, deceit or misrepresentation; conduct prejudicial to administration of justice; conduct adversely reflecting on fitness to practice); DR 9-102(A) (commingling); DR 9-102(B)(1)(misappropriating and converting client funds); DR 9-102(C)(4)(failing to pay promptly to client, as requested by client, funds client was entitled to receive).
Philip R. Rothschild	Reinstated	
Kenneth I. Feinman 649 NYS2d 585	Suspended 6 months	Former DR 1-102(A)(7) (conduct adversely reflecting on fitness to practice law); DR 5-101(A)(accepting employment when exercise of professional judgment affected by own financial, business, property or personal interests); DR 5-105(A),(B) (failing to decline employment or continue multiple employment if exercise of professional judgment adversely affected by the acceptance of proffered employment, or if it likely would involve lawyer in representing adverse interests).

Alfred L. Hetzelt, Jr. ____NYS2d____	Disbarred (restitution ordered)	DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice); DR 5-101(A) (accepting employment when exercise of professional judgment affected by own financial, business, property or personal interests); DR 9- 102(B)(failing to preserve, maintain and identify client funds).
Mark M. Jasen	Reinstated	
Robert H. Alessi 651 NYS2d 805	Suspended 3 years (restitution ordered)	DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice); DR 9-102(A) (commingling); DR 9-102(B) (1)(failing to maintain funds of client in separate account); DR 9-102(C)(3) (failing to maintain complete records of client funds, securities and other properties); DR 9-102 (E)(failing to make withdrawals to a named payee).
Gene M. Gardner 651 NYS2d 806	Suspended 1 year	DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice); DR 9-102(B)(1) (failing to maintain client funds in separate account); DR 9-102(E)(failing to make withdrawals to named payee); DR 9-102(I)(failing to maintain and produce required accounts and records).

Girard M. Ursitti
651 NYS2d 839

Suspended 3 years (restitution ordered) DR 1-102(A)(4)(conduct involving dishonesty, fraud, deceit or misrepresentation); DR 1-102(A)(8)(conduct adversely reflecting on fitness to practice); DR 9-102(A) (commingling); DR 9-102(B)(1)(failing to maintain client funds in separate account); DR 9-102(C)(4) (failing to promptly pay to client, as requested by client, funds client was entitled to receive); DR 9-102(D)(1) (failing to maintain for 7 years records identifying purpose of withdrawals from special account).

**Professional Disciplinary Staffs
and
Departmental and District
Grievance Committees**

Professional Disciplinary Staffs

FIRST DEPARTMENT

Departmental Disciplinary Committee
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Jorge Dopico, Staff Attorney
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Mady Edelstein, Staff Attorney
Kathleen Feerick, Staff Attorney
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Naomi F. Goldstein, Staff Attorney
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Judith N. Stein, Staff Attorney

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Raymond Vallejo, Staff Attorney
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Patrick A. Smith, Grievance Examiner
Kenneth VanLew, Grievance Examiner
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Rebecca Taub, Legal Assistant
Carol Scheuer, Legal Assistant
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Anna Abbate, Law Steno
Niena Cooper-Winfield, Law Steno
Ertha Hobot, Law Steno
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Maryellen Horan, Secretary
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Ellen M. Tallevi, Secretary

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Daniel McKenna, Court Analyst

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Dianne M. Saccone, Assistant Counsel
Sharon M.J. Commissiong, Assistant Counsel
Michael L. Epstein, Assistant Counsel
Guy B. Soden, Investigator
Christopher Kern, Investigator

THIRD DEPARTMENT

Committee on Professional Standards
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Kenneth H.P. Bryk, Staff Attorney
Judith I. Ratner, Staff Attorney
Michael K. Creaser, Staff Attorney
Joseph L. Legnard, Investigator
Vincent M. Tepedino, Investigator

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Robert Bevilacqua, Grievance Examiner

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Joanne Walsh, Grievance Examiner
William P. Zenosky, Grievance Examiner
Mary E. Davis, Grievance Examiner

Departmental and District Grievance Committees

FIRST DEPARTMENT

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Nelson Zakia

**Attorney Discipline Budget Appropriations
(Fiscal 1996-1997)
and
Salaries of Disciplinary Staff
(February 1997)**

FIRST DEPARTMENT

Title	Grade	2/97 Salary
Chief Attorney	NS	\$97,251
Principal Attorney	30	79,279
Principal Attorney	30	81,718
Principal Attorney	30	81,718
Associate Attorney	27	66,054
Associate Attorney	27	61,992
Associate Attorney	27	59,943
Associate Attorney	27	41,146
Senior Attorney	25	63,678
Associate Attorney	27	71,461
Associate Attorney	27	55,944
Associate Attorney	27	54,073
Administrative Secretary	17	44,267
Court Assistant	16	32,045
Sr. Appellate Court Asst.	21	31,611
Grievance Examiner	17	42,811
Court Analyst	18	41,482
Law Stenographer	14	28,624
Law Stenographer	14	28,264
Law Stenographer	14	34,547
Law Stenographer	14	37,504
Secretary	14	26,404
Secretary	14	33,290
LAN Administrator	21	42,031
Senior Court Analyst	21	49,267
Law Stenographer	14	27,514
Assistant Court Analyst	16	35,682
Senior Office Assistant	08	19,533
Court Analyst	18	45,218
Principal App. Office Asst.	13	24,871
Sr. Appellate Court Asst.	21	52,685
Grievance Examiner	17	42,135
Principal Attorney	30	82,863
Associate Attorney	27	68,243
Grievance Examiner	17	42,135
Associate Attorney	27	57,829
Associate Attorney	27	57,829
Senior Attorney	25	47,542
Associate Attorney	27	54,073
Associate Attorney	27	54,073
Court Analyst	18	32,550
Secretary	14	37,664
Senior Attorney	25	48,495
Court Analyst	18	33,203
1996-97 Appropriations:		
Personal Service		\$2,140,225
Nonpersonal Service		<u>362,941</u>
Total		\$2,503,166

SECOND DEPARTMENT

Title	Grade	2/97 Salary
(Second and Eleventh Judicial Districts)		
Chief Attorney	32	\$93,769
Principal Attorney	30	82,863
Associate Attorney	27	57,829
Associate Attorney	27	68,243
Associate Attorney	27	71,461
Associate Attorney	27	71,461
Senior Attorney	25	54,073
Senior Court Analyst	21	38,987
Court Analyst	18	33,954
Grievance Examiner	17	31,345
Assistant Court Analyst	16	37,233
Secretary	14	27,514
Secretary	14	28,624
Law Stenographer	14	33,290
Grievance Examiner	17	30,730
Junior Court Analyst	12	31,311
1996-97 Appropriations:		
Personal Service		\$848,223
Nonpersonal Service		<u>200,600</u>
Total		\$1,048,823
(Ninth Judicial District)		
Chief Attorney	32	94,095
Principal Attorney	30	54,186
Associate Attorney	27	59,869
Associate Attorney	27	73,393
Associate Attorney	27	71,068
Associate Attorney	27	54,073
Attorney	22	41,146
Court Analyst	18	37,970
Grievance Examiner	17	32,619
Secretary	14	36,394
Secretary	14	27,514
Secretary	14	37,664
Assistant Court Analyst	16	40,042
1996-97 Appropriations:		
Personal Service		\$735,843
Nonpersonal Service		<u>264,700</u>
Total		\$1,000,543

SECOND DEPARTMENT

(Tenth Judicial District)

Title	Grade	2/97 Salary
Chief Attorney	32	\$94,095
Principal Attorney	30	85,016
Associate Attorney	27	34,398
Associate Attorney	27	71,461
Associate Attorney	27	61,992
Associate Attorney	27	66,608
Associate Attorney	27	56,005
Senior Attorney	25	52,081
Attorney	22	41,146
Court Analyst	18	36,643
Grievance Examiner	17	42,135
Law Stenographer	14	34,547
Law Stenographer	14	26,404
Assistant Court Analyst	16	38,877
Law Stenographer	14	36,394
Secretary	14	29,734
1996-97 Appropriations:		
Personal Service		\$867,912
Nonpersonal Service		<u>321,850</u>
Total		\$1,189,762

FOURTH DEPARTMENT

Title	Grade	2/97 Salary
Chief Attorney	32	\$93,769
Principal Attorney	30	79,279
Principal Attorney	30	67,792
Principal Attorney	30	79,279
Associate Attorney	27	63,733
Associate Attorney	27	60,140
Assistant Court Analyst	16	32,135
Senior Attorney	25	54,237
Secretary	14	35,668
Secretary	14	26,404
Secretary	14	32,032
Secretary	14	28,066
Principal App. Office Asst.	13	25,936
Grievance Examiner	17	44,085
Grievance Examiner	17	44,085
Grievance Examiner	17	42,811
Grievance Examiner	17	44,267
Grievance Examiner	17	44,267
Senior Attorney	25	48,495
Assistant Court Analyst	16	37,706
1996-97 Appropriations:		
Personal Service		\$955,587
Nonpersonal Service		<u>268,126</u>
Total		\$1,262,664

THIRD DEPARTMENT

Title	Grade	2/97 Salary
Chief Attorney	32	\$93,769
Principal Attorney	30	75,707
Associate Attorney	27	50,288
Senior Court Analyst	21	48,971
Principal App. Office Steno	13	24,871
Secretary	14	33,275
Secretary	14	29,901
Associate Attorney	27	59,761
Secretary	14	36,394
Associate Attorney	27	66,680
Court Analyst	18	43,759
Junior Court Analyst	12	25,619
Court Analyst	18	33,203
Secretary	14	27,098
1996-97 Appropriations:		
Personal Service		\$611,134
Nonpersonal Service		<u>86,680</u>
Total		\$697,814